These amended Rules and Regulations are effective when noted. **Shaded areas** indicate rule changes with **effective date** listed.

**Last updated: 9-1-2020**
- Made Board approved changes to reflect ASCA’s new partnership for DNA parentage verification
- Added rule changes to 1.3.2 Multiple Sired Litters which were approved in April 2017 (9-23-2019)

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Found at the back of these rules:

Individual Dog Registration Application
Request for Duplicate Individual Registration Application
Request for Duplicate Registration Certificate
Transfer of Ownership Application
Not for Breeding Release
Certified Pedigree Request Form
Kennel Name Registration Application and Renewal
Litter Registration Application
Multiple Sire Litter Registration Application
Artificial Insemination Using Frozen or Chilled Semen Registration Application
ASCA Lease Agreement for Registry Purposes Only
Request for DNA Test Kits
Foreign Registration Application for Dogs with Foreign Registry Registration
Limited Exhibition Privileges (LEP) Application
Registered Name Change Request
Minor Signature Authorization Form
Power of Attorney Authorization
Primary Registry Services

1.1 Terminology

DOG - The term "dog" denotes both male and female Australian Shepherds.

BREEDER - Breeder is the owner or lessee of the dam at the time she was mated.

LITTER OWNER - The owner(s) or lessee(s) of the dam at the time the puppies were born.

LESSEE - An ASCA Lease Agreement for Stud or Bitch signed by the registered owner leasing dog to lessee(s) must accompany or be on file in the Registry Office at the time the litter application is filed.

DNA-CP - DNA- Certified Profiled - An ASCA registered Australian Shepherd that has been DNA profiled with an ASCA approved lab, but one or both of whose parents have been NOT DNA profiled.

DNA-VP - DNA- Verified Parentage - An ASCA registered Australian Shepherd that has been DNA profiled with an ASCA approved laboratory, and whose parents have also been DNA profiled with an ASCA approved laboratory.

MINOR OWNERSHIP - In all instances where a minor’s (under 18 years of age) name is on a registration document, a Minor Authorization Form must be completed and sent to ASCA. All signatures on ASCA Registry forms where an owner is a minor must be from an adult listed as being a parent or guardian on the Minor Authorization Form. The adult(s) signing must also be an ASCA Full or Service member.

POWER OF ATTORNEY - In instances where a Power of Attorney is needed for signing of registry forms, an ASCA Power of Attorney form must be completed and be on file with the ASCA Business Office.

1.2 Designation of Assigned Registration Numbers

Prefix "A"  Foundation dogs registered. *CLOSED*
Prefix "B"  Progeny of "A" dogs, or litter registered dogs. *CLOSED*
Prefix "C"  Dogs with no prior ASCA registration, but both parents registered with another recognized registry. *CLOSED*
Prefix "D"  Dogs with unknown or unregistered parentage. *CLOSED*
Prefix "F"  Foreign registered dogs, as of March 27, 2007.
Prefix "H"  Dogs registered under the Hardship Registry effective July 1, 1984. *CLOSED*
Prefix "H-II"  Dogs registered under the Hardship Registry effective October 1986. *CLOSED*
Prefix "H-III"  Dogs registered under the Hardship Registry effective January 1, 1992. *CLOSED January 1, 2011*
Prefix "I"  Dogs registered through ASCA and IASA’s 9/15/80 merger, and IASA litter registered dogs only.
Prefix "LEP"  Limited Exhibition Privileges, to allow purebred Australian Shepherds to compete for degrees in eligible ASCA competitive programs except Conformation. Dogs accepted for an LEP registration number must be spayed or neutered.
Prefix "N"  Dogs registered without breeding privileges.
Prefix "R"  Dogs with revoked registration privileges, as of October 2006.

1.3 Litter Registration Rules and Procedures

1.3.1 Litter Registration Procedure

A. The owner(s) or lessee(s) of the dam at the time the puppies were born is (are) the litter owner(s). Litter owner(s) must be full or service members of ASCA.
B. The litter owner(s) is responsible for submitting the Litter Registration Application.
C. All litter owners MUST sign the litter application. The application must be filled out entirely BEFORE signing. Litter owners must not sign a blank application in anticipation of a future breeding.

D. All sires and dams must be DNA profiled with an ASCA approved laboratory prior to the registration of their first litter (Effective January 1, 2011).

E. Once the litter is registered, one Individual Registration Application for each litter-registered puppy will be returned to the litter owner. This application is to be given to the owner of the puppy at the time of sale.

### 1.3.2 Multiple Sired Litters

Litters sired by more than one stud dog (whether by design or by accident) are permissible, provided the following criteria are met:

A. The breeder(s) must DNA profile the dam, sires and every pup produced from this multiple-sire breeding; this profiling is to be at the expense of the breeder(s)/owner(s); this profiling is to be done by ASCA’s approved DNA lab; and the results of the profiling must be on file with ASCA before litter registration can proceed. Proper records must be maintained (photos, video, written description, etc.) so the sample from each individual, adult and pup, can be positively matched to each animal.

B. In cases where the DNA submitted standard set of markers used cannot exclude a sire(s) for a pup(s), thereby verifying parentage to only one sire, the registrar will mark the registration papers for the pup(s) as NFB (Not for Breeding) and issue the registration papers as such. All pups in the litter who have been verified to their parents may be registered with full breeding rights if the breeder so chooses. If the breeder or subsequent owner, of the pup(s) registered as NFB due to lack of parentage verification, chooses to retest the pup(s) and parent(s) in question using the extended marker set in order to narrow parentage to one sire, there will be additional fees charged per animal.

C. A litter registration fee must be submitted for each sire. If an additional sire is indicated by DNA testing, the fee must be submitted before the individual Registration Application(s) will be processed. Note: In the case of accidental multiple sired litters, OR ANY LITTER WHOSE COLORATION MAY RAISE CONCERN WITH THE REGISTRAR, each potential sire will need to be profiled, including any recently neutered males, if applicable. During the interim time between actual castration and total absence of sperm, conception is possible per the American Veterinary Medical Association (i.e. a male can continue to reproduce 14 days after castration). Coat color alone cannot be used reliably for parentage verification. The reasons range from the simple dominant/recessive type of coat color inheritance to the less common incidence of phantom merle individuals. The former might be a red factored black. The latter dogs are genetically merle but phenotypically solid or almost solid in color. These incidences include dogs with a single inconspicuous merle spot, merle patch on tail before docking, etc.

D. It is strongly recommended in the case of intentional or known multiple sires, the breeder consider the use of the extended marker set at the initiation of the DNA verification process. Because of the potential problems associated with sampling a very young animal (pup), the method of sample collection will be determined in consultation with ASCA’s approved DNA lab. Kits for multiple sired or potentially multiple sired litters must be ordered, by phone, from the ASCA Business Office.

E. The extended marker set is required to be utilized, at the initiation of DNA verification, if the sires are of close relation, i.e. father/son, siblings, or uncles. This is due to the high probability of corresponding genetic markers between possible sires. Because of the potential problems associated with sampling a very young animal (pup), the method of sample collection will be determined in consultation with ASCA’s approved DNA lab. Kits for multiple sired or potentially multiple sired litters must be ordered, by phone, from the ASCA Business Office.

F. (Moved section to B above.)

(Moved paragraphs to C above.) (Effective September 1, 2020)

### 1.3.3 Litter Record Form

The Litter Record Form is meant to aid the litter owner in keeping accurate records. It is strictly for his/her records; however, the information contained on it is required to be kept for a period of at least ten (10) years as stated under “Record Keeping” in these Registry Rules. (See Section 2.4)
1.3.4 Requested Amendments
Request the amendments of a registered litter by the litter owner; (i.e., sex, quantity, etc.,) must be supported by pertinent documents to the Registrar within a thirty (30) day period from date of litter registration for approval without DNA testing and verified parentage through ASCA’s official lab. Requests for change of quantity require testing after thirty (30) days; change of sex requires testing at sixty (60) days; request for change of color at six (6) months. Other requests will be presented to the ASCA Board of Directors for review and final decision within thirty (30) days.

1.4 Individual Dog Registration Rules and Procedures

1.4.1 Individual Dog Registration Application
The litter owner should provide the ‘INDIVIDUAL DOG REGISTRATION APPLICATION’ when the buyer takes possession of the dog. If the ‘INDIVIDUAL DOG REGISTRATION APPLICATION’ is not available at the time of purchase, the litter owner must provide the following information to the buyer until such time as the application is available:

A. Dog’s date of birth.
B. Dog’s sex.
C. Dog’s main body color and trim color.
D. Dog’s eye color.
E. Tail length at birth. Also indicate if the tail was docked.
F. Registered name and ASCA number of the dog’s sire.
G. Registered name and ASCA number of the dog’s dam.
H. Name, address and telephone number of the litter owner(s).
I. Why the form is not available at the time of purchase and when/if the buyer can expect to receive it.

1.4.2 Registered Parents
Each parent of the applicant dog must have been registered as an Australian Shepherd with ASCA.

1.4.3 Registration with Other Registries

A. Initial Registration. A dog shall not be eligible to be registered with ASCA as an Australian Shepherd if, at the time of application for registration, such dog or either of its parents have been registered with any registry as a breed other than Australian Shepherd.

B. Revocation of Registration. The ASCA registration of any registered dog shall be revoked upon the occurrence of any of the following events.

1. ASCA obtaining evidence that such dog was registered in violation of Subsection 3(a) above;
2. Such dog is registered with any registry as a breed other than Australian Shepherd;
3. Either parent of such dog is registered with any registry as a breed other than Australian Shepherd if the owner or any co-owner of such dog (a) is the owner or co-owner of any such parent, or (b) knowingly allowed or participated in such registration, as determined by ASCA’s Board of Directors in its sole discretion.

1.4.4 Original Form
No photocopies of the ‘INDIVIDUAL DOG REGISTRATION APPLICATION’ form will be accepted for registration, only the original form sent to litter owner can be used for individual registration.

1.4.5 Altered Forms
The Registry Office will not accept any registration applications that appear to have been altered. If there is a question of validity, the Business Office will require an explanation signed by the litter owner. NO WHITE OUTS ON APPLICATIONS WILL BE ACCEPTED UNLESS ACCOMPANIED BY A SIGNED EXPLANATION.

1.4.6 Required Signatures – Kennel Name Owners
If the owner of a dog is listed as an ASCA registered kennel name only, all owners of the kennel name must sign as the dog’s owner or litter owner.
1.4.7 Required Signatures - Owners
All persons owning a dog or litter must sign on all paperwork (i.e.: individuals, litters, transfers, etc.) as owner and co-owner(s), even if the ownership is listed as and/or.

1.4.8 Kennel Name in a Registered Name
All owners of an ASCA registered kennel name must sign giving permission to the owner of the dog to use the kennel name in naming the dog. If litter owner(s) require their ASCA registered kennel name to appear as part of the dog's name, they must insert the kennel name, as registered with ASCA, in the space provided on the Individual Registration Application. They must also sign in the space provided giving owner(s) permission to use the registered kennel name. In the event litter owner(s) does not put the kennel name in the spaces provided, buyer may use their own choice in naming dog. If seller/buyer cannot agree on choice of names, it is suggested the breeder register the dog and then transfer ownership accordingly. The Registrar reserves the right to deny any name deemed offensive or profane.

1.4.9 Consent for Use of Kennel Name
No dog shall be registered with a name including an ASCA registered kennel name. No dog shall be registered with a name which includes as a prefix or suffix an ASCA registered kennel name, a name which includes a variation of an ASCA registered kennel name, or a name which includes a name deceptively similar to an ASCA registered kennel name as determined by ASCA's Board of Directors, without the consent and signature of the owner of the registered kennel name on the Individual Dog Registration application.

If a kennel owner discovers any variation in spelling to their registered kennel name that was not permitted by the kennel owner, the kennel owner must notify the Registrar immediately.

1.4.10 Registered Name
A. Once a dog is registered in ASCA, the registered name will be retained except as outlined in the following subsections, C, and D.
B. If a name duplication or kennel name violation (as outlined in section 1.4.9) is identified by the Registrar prior to registration, the owner will be notified by the Registrar they may not use the kennel name in question without permission as provided for within these Rules. The application will be returned with a request to choose another name.
C. If a name duplication or kennel name violation (as outlined in Section 1.4.9) is discovered after registration and any of the exceptions listed in Section 1.4.12 has been met, the Owner will be notified by the Registrar they may not use the Kennel Name in question again without permission as provided for within these Rules. The Registered name will not be changed.
D. If a name duplication or kennel name violation (as outlined in Section 1.4.9) is discovered after registration and none of the exceptions in Section 1.4.12 has been met, the Registrar will contact the Owner and request a new name be chosen. The Registered name will be changed.
E. Dog's names are limited to thirty (30) letters including spaces, punctuation and the ASCA registered kennel name unless the extended name fee is included to extend the name as outlined in section 1.4.11.

1.4.11 Additional Characters
Up to twenty (20) additional characters including spaces, punctuation and the ASCA registered kennel name can be used. An additional fee as determined by the ASCA Board of Directors is due at the time of registration.

1.4.12 Change of Registered Name
A dog's registered name can be changed one time if the following conditions are met:
A. The dog must not have earned any points in conformation or earned a qualifying or passing scores in any of the other ASCA programs.
B. The dog must not have been DNA profiled.
C. The dog has not produced offspring.
D. The breeder must give permission for the name change.
E. The fee as set by the ASCA Board of Directors must be enclosed with the application.
1.4.13 Duplicate Registration
In the event an Individual Registration Application is lost or destroyed, a Duplicate Request Form must be submitted by the litter owner to the Registry Office with all pertinent information, i.e.: Litter number, sire and dam, birth date. FEE REQUIRED.

1.4.14 Registration Certificate
Once the dog owner submits the Individual Registration Application to the Business Office, a Registration Certificate will be issued. In the event a Registration Certificate is lost, duplicate certificates will be issued to the current registered owner only. FEE REQUIRED.

1.4.15 Photos
Two photos are required of the individual dog and must accompany the Individual Dog Registration Application form. Photos must be clear, color and show the dog's left and right side full body view. All photos become the property of ASCA and will remain in the dog's file.

1.5 LEP Registration
A. The Limited Exhibition Privileges registration is open to dogs who appear to be purebred Australian Shepherds.
B. Dogs who or whose parent(s) are registered as other breeds are not eligible for LEP registration.
C. The LEP application is available on the ASCA website. Application must be complete. Incomplete forms will be rejected.
D. Include the following with this application:
   1. Three clear color photos of the dog: full body right side, full body left side, and full front view. Digitally altered photos are not accepted.
   2. Copies of any pedigree information, and/or other registry/club/organization registration/recording papers.
   3. Copy of spay/neuter certificate with Veterinarian signature. Note: If the dog was spayed/neutered prior to the applicant's ownership, provide a signed Veterinarian statement attesting the dog has been spayed/neutered.
   4. Payment in full of the appropriate fee.
E. Dog must be 6 months of age or older at time of application.
F. Applicant Owner and all Co-owners must read, sign and date the Terms of Acceptance.
G. LEP dogs may compete for certification and titles as ASCA Australian Shepherds in eligible ASCA performance programs.
H. An appeal of a rejected LEP application must be made to the ASCA Board of Directors.

1.6 Registration for Other Registries (Foreign Registries)
The term “foreign registry” means a registry other than ASCA. Purebred Australian Shepherds registered with other registries may be eligible for ASCA registration provided they meet all the following requirements:
A. The dog was registered as an Australian Shepherd in the country of birth with a foreign registry recognized by ASCA as follows:
   1. Any registry with which ASCA has a reciprocal agreement (i.e. The Canadian Kennel Club)
   2. Any Foreign club registry approved by the Board of Directors after review of that registry's registration process (currently the American Kennel Club, The Kennel Club)
B. Owners of applicant dogs registered with non-ASCA approved registries must seek approval for that registry by providing the Board of Directors with clear evidence of the foreign registry's ability to accurately verify purebred Australian Shepherd lineage.
C. If both parents of an applicant dog are ASCA registered, the dog must be registered through an ASCA Litter registration application. This dog is NOT eligible for Foreign Registration.
D. Litters born prior to the Foreign registration of a sire/dam will be eligible for litter registration (after registration of said parent) provided both parents are ASCA registered and the litter meets all other requirements in the ASCA registry rules for litter registration (DNA, Fees, etc.). Fees for registration of the litter and the individual puppies are those listed on the ASCA litter registration and individual application form. The fees are based upon the age of the litter and puppy at the time of application.
E. The application must include a pedigree certified by the foreign registry. This pedigree must be a minimum of three generations and must include all registration numbers from that registry. Pedigrees containing more than three generations are acceptable. However, these pedigrees must include all registration numbers from that registry.

F. The applicant must provide evidence that the applicant dog’s lineage is based 100% on ASCA registered dogs, none of whose registrations have been revoked by ASCA prior to the applicant’s purchase of the dog or who was ASCA registered “Not for Breeding”. Registration of any applicant dog or any dog in the submitted pedigree as a breed other than Australian Shepherd will result in the applicant dog being ineligible for ASCA registration. Applicants must supply ASCA descendant registration numbers at the time of application.

G. A photocopy of the registration papers certified by the foreign registry must accompany the application. The dog must have an unrestricted (for breeding) registration with that registry if applying for registration as “for breeding” in the ASCA Registry.

H. The application form and the foreign registration must show identical ownership.

I. The dog must have a permanent, readable microchip or tattoo identification.

J. The dog must be DNA profiled by an ASCA approved DNA laboratory.

K. The owner must certify that the applicant dog does not have any disqualifying faults as described in the ASCA breed standard.

L. The signature of all owners must appear on the application.

M. The breeder’s signature must appear on the application.

N. Three photos of the individual dog must accompany the application form. Photos must be clear, in color and show the dog’s front, and left and right side full body views.

O. If any part of the application has been falsified or found to be untrue, registration will be revoked for this dog and any progeny. The Board of Directors may take disciplinary action against the owner.

P. If you are interested in participating with your purebred Australian Shepherd in ASCA’s many performance programs including Agility, Obedience, Rally, Stockdog, and Tracking, you may want to consider enrolling your dog in ASCA’s Limited Exhibition Privilege (LEP) program. For further information please visit www.asca.org or contact the Business Office.

Foreign Registration Procedures

1. Complete and submit the Foreign Registry Application.

2. The dog’s registered name with the Foreign Registry will remain the same. If the dog’s registered name already exists in ASCA’s ® registry or if the kennel name is an active ASCA registered kennel name, the dog’s registered name will be required to change to a unique name.

3. Fees for Individual Dog Registration will be as follows:
   - Foreign Registry – Full Member Rate: $100.00
   - Foreign Registry - Service Member Rate: $200.00
   - Canadian Kennel Club – Full Member Rate: 0-12 months $15.00/ 1-2 years $30.00/ 2+ years $50.00
   - Canadian Kennel Club – Service Member Rate: 0-12 months $30.00/ 1-2 years $60.00/ 2+ years $100.00

4. Submit a photocopy of the applicant dog’s ASCA DNA profile certificate.

5. Submit a photocopy of the applicant dog’s registry-certified registration papers.

6. Submit the applicant dog’s certified three-generation pedigree, including the registration numbers from that registry.

7. Submit three (3) photos: full body left side, full body right side and full front view. Photos must be clear.

8. All submitted material becomes the property of ASCA.

FEES WILL NOT BE REFUNDED/RETURNED IF THE APPLICATION IS DENIED FOR ANY REASON.

Dogs accepted into the registry will be assigned a registry number with an “F” prefix.
1.7 Transfer of Ownership Rules and Procedures

The TRANSFER OF OWNERSHIP form is printed on the reverse of the registration certificate. Additional transfer forms may be obtained from the Registry Office. Each transfer must be signed and dated by current ASCA registered owner(s) and/or co-owner(s) when transferring dog, even if the ownership is listed as and/or. FEES ON CERTIFICATE.

No litter from or by a dog shall be registered until all transfers of ownership are complete.

In the event dog is re-sold prior to ASCA registration, the new owners should obtain an additional TRANSFER OF OWNERSHIP or BILL OF SALE to accompany application. (See Transfer Form at the end of these rules.)

With reference to transfers of ASCA registered dogs, only the current registered name is acceptable under current Registry Rules. No further changes of name will be allowed once the dog is accepted in the Stud Book, except as allowed under Ch 1 Sec 1.4.10.

If, in the event of an animal abuse or neglect conviction, the Court orders the defendant to relinquish ownership of all animals, ASCA should use such an order to effectuate the transfer of ownership to the co-owner, or in the absence of the co-owner to the breeder (provided the breeder is not the defendant), adopter/new owner, or to a rescue organization.

2 Additional Registry Services

2.1 Artificial Insemination

2.1.1 Reporting to ASCA

The collection of semen for the artificial breeding must have been reported to ASCA and the collector/storer must be on record with ASCA as familiar with and complying with ASCA regulations for recordkeeping and identification of dogs.

2.1.2 Certification

The certifications contained herein must be completed by the owner of the semen, the owner of the female, and the veterinarian/accredited inseminator who performed the artificial breeding.

2.1.3 DNA Profiling

Any ASCA registered male from whom semen is collected and frozen on or after January 1, 1999, for future use in breeding, must be DNA profiled through ASCA’s current lab at that time. Litter applications which indicate the use of frozen semen from male who were not DNA profiled prior to death will require:

A. Return of the male’s ASCA Registration Certificate indicated a date of death earlier than January 1, 1999; and

B. A letter from the storer of the semen indicating that the semen was collected and frozen prior to January 1, 1999.

The application form along with the ASCA litter application and registration fee should be mailed, faxed, or scanned and emailed to the ASCA business office.

The following procedures will be required to identify semen and dogs involved in artificial insemination utilizing either chilled or frozen semen. Insemination involving fresh semen that is inseminated into the bitch directly after collection is exempt from these requirements.

A. Sire and dam must be DNA profiled by a laboratory approved by ASCA.

B. Collected frozen or chilled semen will be permanently identified with the ASCA Individual Registration Number, date collected, and registered ASCA name.

All procedures involving artificial insemination with chilled or frozen semen shall be certified in writing by a licensed veterinarian and shall include the ASCA registered name, number and verification of the sire and dam’s tattoo or microchip number on ASCA’s Litter Registration Application for Artificial Insemination with Chilled or Frozen Semen. Written certification to be retained
by the sire or dam owner for a period of five (5) years and made available to the ASCA Registrar upon request. If no certification from a licensed veterinarian is produced upon request, the offspring will not be registered. A regular Litter Registration Application must be submitted stating Artificial Insemination was used, with fresh or frozen semen. ASCA assumes no liability in disputes arising from Artificial Insemination.

2.2 DNA Testing

ASCA reserves the right to perform or have performed DNA test(s) on any dog registered or applying for registration with ASCA. The cost of the DNA test, including the cost of the blood draw, shall be paid as determined by the ASCA Board of Directors. An ASCA representative may be present during any DNA collection.

If any owner or co-owner of a dog(s) refuses to cooperate with ASCA in DNA testing, the ASCA Board of Directors may revoke or deny the registration papers on that dog(s) and may also suspend any or all ASCA services and privileges.

If a DNA test proves the falsification of pedigree of one (1) or more progeny from a litter, the ASCA Board of Directors may revoke the registration papers of the alleged sire and the alleged dam and all the progeny from that litter. ASCA may also revoke the registration papers of any or all registered litters produced by either dog prior to the DNA test.

Owner(s) of dogs from all generations which have been affected by the “incorrectly identified” parent will also be sent letters from ASCA by certified and regular mail informing them of the situation within approximately 45 days of the discovery of the error. The dog in question and those affected by the "unknown" lineage will be placed on Not for Breeding status and pedigrees will be modified to correctly reflect their "unknown" origin within their pedigrees. If a pedigree is modified/corrected as a result of a DNA investigation, the changes must be printed in the Aussie Times.

The ASCA Board will appoint a liaison between ASCA’s approved DNA lab, the Board of Directors, and the Business Office to facilitate communications between all parties.

2.2.1 Alternate Use of Stored DNA Sample

The DNA samples which are stored by and are in the legal custody of ASCA’s approved DNA laboratory are in the stewardship of ASCA for the purpose of providing genetic identity and parentage verification. A portion of the archival sample will be provided for any other use provided that 1) written permission of the owner(s) of the dog is obtained by written request through ASCA, and 2) ASCA’s approved DNA laboratory determines that enough genetic material will remain available for subsequent genetic purposes. Upon written request, ASCA would request that ASCA’s approved DNA laboratory transfer the DNA sample material to the individual or laboratory indicated in the request. Any use of the sample by ASCA for purposes of research or for contribution to a genetic study, must be with the written authorization of all the registered owner(s) of the dog. All costs for transfer of sample material resulting from a transfer request whether initiated by the dog owner(s) or by ASCA will be borne by the requesting party(s). In any case, the written permission of the owner(s) must be obtained prior to sample transfer. In cases of multiple owners, all owners must provide written documentation as to their concurrence (or denial) of the request. (Section removed - Effective September 1, 2020)

2.2.2 Determination of Deceased Parent

In the case of a deceased (and unavailable) parent, the owner of the litter/offspring will work in conjunction with the ASCA approved lab and ASCA to identify the parent. (Effective September 1, 2020)

The following procedure for determining the genotype of a deceased dog (or otherwise unavailable) as regards parentage verification will be used. (Note: dog denotes either sex). In consultation with ASCA’s approved laboratories, through ASCA’s DNA Committee/Board Liaison, eight (8) offspring of the unavailable dog and the mate or mates of the unavailable dog that allegedly produced these offspring must be DNA profiled. If these criteria cannot be met, the party responsible for the unavailable dog must inform ASCA and must also consult with ASCA’s approved DNA laboratory through ASCA’s DNA Committee/Board Liaison, so a POSSIBLE alternative plan might be devised. Any alternative plan will have to meet the 90% probability rule. [Note: The 90% percent rule means by producing eight (8) offspring, there is a 90% probability all the alleles of the unavailable dog, for each marker used for PV, will be present in the offspring and have been recorded.]
2.2.3 DNA Artificial Fertilization/Propagation Rule

All dogs and bitches used in the propagation of litters or individual dogs through any artificial means other than artificial insemination with fresh semen and including but not limited to artificial insemination with chilled or frozen semen, in vitro fertilization, cloning, and/or utilization of surrogate dams and all progeny produced through such means must be DNA verified through the ASCA DNA program prior to issuance of individual registration papers by the ASCA registry office. Artificial insemination and in vitro procedures include but may not be limited to frozen or chilled semen/sperm and/or ova.

1. Although certain forms of artificial fertilization/propagation are currently uncommon in canines, ASCA recognizes the potential of such occurrences in the future and has therefore expanded its policy in anticipation of potential future breeding practices.
2. Nothing in this rule shall be considered to supersede any ASCA rule, policy or regulation, which specifically disallows one or more methods of artificial reproduction.
3. This rule must abide by all applicable state, local and federal laws within the United States as well as international laws with which the United States has agreed to abide.

2.2.4 Blood Card Sample Collection Instructions

Be sure you have filled out the ASCA DNA form included with your card(s).

1. Do not open the card until ready to use it. Do only one kit at a time. Finish one card and move it out of the area before starting another.
2. When ready, open the card so you know what to look for when you are ready to place the blood on the card, being careful not to contaminate the purple area.
3. Label the card with your dog’s ASCA registration number and name.
4. Label the small plastic envelope the card will be put in when done with the same information.
5. Have a qualified person (Vet, Tech, Dr., Nurse) draw blood.
6. DO NOT touch the needle to the card.
7. DO NOT saturate the card. Drop 4 drops of blood onto the purple area of the card.
8. DO NOT blow on card to dry it. DO NOT touch the blood area.
9. DO NOT reuse needle and syringe, dispose of it properly.
10. Make a tent out of the card (to allow the blood to dry) and place it on the corresponding envelope (in another area from where you are drawing blood if you are doing more than one profile) and let dry for at least 30 minutes, one hour is even better.
11. When the card is dry, place it in the corresponding envelope and seal.
12. If you have more dogs to profile, begin at step 1 above.

Note: Cards do not need to be refrigerated and can be mailed in the regular mail and should be send as soon after collection as possible with the ASCA DNA form.

MAIL TO: Therion International, LLC; 109 Caroline St.; Saratoga Springs, NY 12866

2.2.5 ASCA DNA Corrective Measures Rule

DEFINITIONS: Voluntary - "Voluntary" DNA testing refers to any DNA testing, which is initiated by the Owner or Owners of the dog. Involuntary: "Involuntary" refers to any DNA investigative procedure which is initiated by any party (ASCA or any ASCA Club member or non-member in good standing with ASCA) for the purpose of determining the actual and true relationships of dogs owned by a party other than those initiating the complaint, and whose dogs are recorded within the Official ASCA Registry.

2.2.5.1 Voluntary DNA Corrective Measures

Discovery of incorrectly registered genetic relationships through voluntary initiation of investigative procedures or through DNA testing for litter registrations. (Effective September 1, 2020)

Rule: ASCA will not impose fines, and/or other punitive damages (which may include loss of club membership status or standing) for parental discrepancies discovered through the voluntary DNA process.
Involuntary DNA Corrective Measures

Discovery of incorrectly registered genetic relationships through involuntary investigative procedures.

Rule: For any DNA investigation procedure initiated by any means other than ASCA’s Voluntary DNA Program the following will apply: Should it be determined as a result of an involuntary DNA investigative procedure, that dog(s) have been incorrectly registered within the official ASCA Registry, the following criteria and associated actions will apply to the individual(s) responsible for the registry error:

1. If as a result of one or more cumulative investigation processes, a single litter has been determined to have been registered incorrectly by a Breeder of Record, Stud Owner and/or other responsible individual within a 5-year period, the following shall apply:
   a. The Breeder of Record, Stud Owner, and/or other responsible individual will be required to DNA Profile all current breeding stock (including progeny produced from breeding between dogs owned by themselves or resulting from breeding between their dogs and dogs owned by others) which are owned, co-owned, leased, or in any other way in the legal custody of said person and/or associated in any other way with breeding of such dogs. Profiling of dogs must be performed within 6 months of custodial care and/or production of stock, whether custodial care and/or production of progeny resulted from purchase or other means of custody, control or other means of influence, including but not limited to collaboration, collusion, coercion, conspiracy, verbal agreement, and intent to falsify or defraud.
   b. All costs incurred by any party to discover the correct relationships of such dog(s) will be paid for by the individual(s) responsible for such errors as determined through the investigative process. Failure to cover such costs will result in immediate revocation of all ASCA privileges until and unless such obligations are met.

2. If, as a result of one or more cumulative investigative processes, two litters have been determined to have been registered incorrectly by a Breeder of Record, Stud Owner and/or other responsible individual within a 5-year period, the following shall apply:
   a. The responsible individual(s) will be required to DNA Profile all current AND future breeding stock for a period of 5 years (including progeny produced from breeding between dogs owned by themselves or resulting from breeding between their dogs and dogs owned by others) which are owned, co-owned, leased, or in any other way in the legal custody of said person and/or associated in any other way with breeding of such dogs. Profiling of dogs must be performed within 6 months of custodial care and/or production of stock, whether custodial care and/or production of progeny resulted from purchase or other means of custody, control or other means of influence, including but not limited to collaboration, collusion, coercion, conspiracy, verbal agreement, and intent to falsify or defraud.
   b. The individual(s) responsible for such registration errors will be subject to a $250 fine set by the ASCA Board and 3 months’ suspension from all ASCA privileges. (Effective September 1, 2020)
   c. The individual(s) will pay for ALL costs incurred by any party to discover the correct relationships of such dog(s) responsible for such errors as determined though the investigative process. Failure to cover such costs will result in immediate revocation of all ASCA privileges until and unless such obligations are met.

3. If as a result of one or more cumulative investigation processes, three or more litters have been determined to have been registered incorrectly by a Breeder of Record, Stud Owner, and/or other responsible individual within a 5-year period, the following shall apply:
   a. The responsible individual(s) will be required to DNA Profile all current AND future breeding stock for a period of 10 years (including progeny produced from breeding between dogs owned by themselves or resulting from breeding between their dogs and dogs owned by others) which are owned, co-owned, leased, or in any other way in the legal custody of said person and/or associated in any other way with breeding of such dogs. Profiling of dogs must be performed within 6 months of custodial care and/or production of stock, whether custodial care and/or production of progeny resulted from purchase or other means of custody, control or other means of influence, including but not limited to collaboration, collusion, coercion, conspiracy, verbal agreement, and intent to falsify or defraud.
   b. The individual(s) responsible for such registration errors will be subject to a $500 fine set by the ASCA Board and 6 months’ suspension from all ASCA privileges. (Effective September 1, 2020)
c. The individual(s) will pay for ALL costs incurred by any party to discover the correct Relationships of such dog(s) responsible for such errors as determined though the investigative process. Failure to cover such costs will result in immediate revocation of all ASCA privileges until and unless such obligations are met.

DEFINITIONS: Moved to beginning of section. (Effective September 1, 2020)

NOTES:
  1. This rule does not in any way prevent the ASCA Board of Directors from taking action which will result in improving the breeding practices and/or registry requirements of certain parties so long as these actions assist in maintaining the confidence that ASCA and its Club members have in the integrity of the breed registry. Furthermore, this rule does not prevent the ASCA Board of Directors or other governing or supporting ASCA bodies from drafting and/or implementing subsequent policies or rules governing DNA testing should such amendments be in the best interest of the Club and its members.
  2. In cases where multiple-sired litters are believed to have occurred, Part 1: Voluntary DNA Corrective Measures will apply if the Breeder of Record and/or stud owner corrects the registration papers by voluntarily DNA testing sire/dam and all progeny prior to the one year anniversary of the litter, AND prior to successful production of offspring of any progeny.
  3. Breeders of Record and/or Stud Owners who are informed of an error in registration may choose not to pursue identification of the correct parentage; however, the following actions will result from this decision:
     a. Pedigrees of those dogs involved will be placed on permanent Not-For-Breeding status.
     b. No other litters that were whelped, or sired by dogs owned, co-owned, or otherwise in the legal custody of said breeder/stud owner will be registered by ASCA until and unless satisfactory attempts have been made to comply with the ASCA DNA Complaint Resolution Rule as provided below.
     c. Refusal will result in a fine of $1000 in an amount determined by the ASCA Board of Directors and indefinite suspension from all ASCA privileges, such suspension not to be revoked until and unless satisfactory attempts have been made by the individual(s) suspended to comply with the ASCA DNA Resolution Rule. (Effective September 1, 2020)

ASCA DNA COMPLAINT RESOLUTION RULES

General Procedure
The Australian Shepherd Club of America, Inc’s DNA Complaint Resolution Rules are divided into two examination categories as outlined below:

The ASCA initiated procedure is triggered when DNA sample results are received by the ASCA Business Office from ASCA’S approved DNA laboratory and the results do not match the DNA profile expected from the pedigree information contained in the registry for the profiled dog. The protest initiated procedure results from a formal protest being filed by an individual who questions the pedigree relationships. If no acceptable DNA material is available from the parents, for DNA analysis, the ASCA Determination of Deceased Parent Rules will be utilized, employing the 90% parentage probability criteria in order for the pedigree to remain as recorded, as presented in the pedigree of a dog or dogs as recorded in the ASCA Registry. The word “dog” refers to both male and female.

Section I. ASCA Initiated Procedure
Upon receipt of laboratory DNA profile data from a dog whose sire or dam was born after 1/1/95 which does not match the pedigree information as recorded in the registry, the following steps shall be performed: (Note: Dogs born before 1/1/95 if found to have “unknown” lineage will have pedigrees modified to reflect the “unknown” lineage but will not be restricted to Not For Breeding registration status. Dogs born after this date will have their pedigrees modified to reflect the “unknown” lineage and will be restricted to Not-For-Breeding registration status.)
  1. The owner(s) of the dog in question and its sire and dam are informed by ASCA within 10 working days via letter of the genetic mismatch.
2. The dog in question is placed on a temporary Not-For-Breeding registration status until a) the correct parents are identified or b) permanent Not for Breeding registration status is recorded. Temporary Not for Breeding (see Registry Rules for definition) registration status does not limit a dog from competing in any of ASCA’s programs, it only prohibits one’s ability to register offspring and never for more than 12 months. Within the 12-month period, a determination will be made as to the legitimacy of the pedigree, resulting in either permanent Not for Breeding registration status or restoration to the previously recorded status of the dog prior to the DNA verification investigation.

3. The owner(s) of the sire and dam of the dog in question are sent DNA kits and requested to provide DNA tissue samples of the prospective mates to ASCA’s approved DNA laboratory within 60 days in order to verify correct laboratory results.

4. If samples verify the mismatch, the owner(s) of the sire and dam is contacted via letter from ASCA and required to attempt to identify other possible sires/dams. The owners of all puppies from the registered litter are informed of temporary Not for Breeding registration status, sent DNA kits and required to submit DNA samples to ASCA’s approved DNA laboratory. Initial tests will be paid for by ASCA, however, ASCA will request the owner of the dog who incorrectly submitted the litter registration application (usually the owner of the sire and/or dam, if determined through this process) pay for all testing costs incurred which were deemed necessary to resolve the parentage issue. This is done in order to assess whether or not the litter was produced from multiple sires. In addition, whether or not the sire/dam is correctly identified, the owner(s) of the sire and/or dam may be required to submit samples from all breeding stock in an effort to avoid future pedigree errors and/or additional allegations against the party. These tests will be paid for by ASCA with the exception of tests performed in the tiered approach (above) which are required for the positive identification of the dog(s) in question.

5. The owner(s) of the sire and dam is then required to obtain DNA samples from all possible sires and dams in an optional tiered approach (i.e. most probable sire and dam tested first, second most probable sire and dam tested next, etc. until the correct sire/dam is identified). All testing must be complete within 6 months of initial discovery at the expense of the owner(s) of the sire/dam of the dog(s) in question.

6. If the sire/dam is not identified within this time, all puppies from the litter are placed on Not for Breeding registration status and pedigrees are modified to reflect “unknown” sire/dam.

7. Owner(s) of dogs from all generations, which have been affected by the “unknown” parent, will also be sent letter from ASCA informing them of the situation. The dog in question and those affected by the “unknown” lineage will be placed on Not for Breeding registration status and pedigrees will be modified to correctly reflect their “unknown” origin within their pedigrees.

8. When the investigation has been concluded, if changes in the pedigree are required to reflect the correct relationships, whether the change results in an “unknown” parentage or newly identified parent(s), these changes will be published prominently in the next issue of the Aussie Times for which the publication deadline can be met. The ASCA Business Office Manager will place the following announcement in the Times within the first third of the publication beginning at the top of the page:

Title: (18-point font minimum) “ASCA Registration/Pedigree Correction Announcement”

Through ASCA’s Popular Sire/Dam and/or voluntary DNA testing program, the following registration errors have been discovered. Due to this discovery, these dogs will have their registration papers corrected to reflect their true relationship in order to ensure the accuracy of the ASCA Registry.”

Listing of Corrected Pedigrees: (In not less than 10-point font), the listing will include but is not limited to:

1. Originally registered parents with registration numbers
2. Correct parents with registration numbers (including “unknown” if applicable)
3. Date(s) of birth of all litters which have been affected by the error
4. Names of all registered progeny affected with registration numbers
5. Name(s) of current owner(s) of the dogs with changed pedigrees
6. Name(s) of the breeder(s) of record and stud owner(s), where different.

Footnote (14-point font minimum): “Please contact the ASCA Business Office if you have questions concerning these changes.”

Section II. Protest Initiated Procedure
Upon receipt of an official complaint form alleging the DNA from a dog whose sire and/or dam born after 1/1/95 will not match pedigree information as recorded in the registry, the following steps shall be performed:

1. The owner(s) of the dog, sire, and dam and potentially owners of siblings of the dog in question are informed by ASCA via letter within 10 working days of the protest filed. (This is dependent upon the specific allegations of the protest, e.g. number of dogs whose parentage is alleged to be in question.)

2. The dog in question is placed on a temporary Not for Breeding registration status until either a) the correct parents are identified or b) permanent Not for Breeding registration status is recorded. Temporary Not for Breeding (see Registry rules for definition) registration status does not limit a dog from competing in any of ASCA’s programs, it only prohibits one’s ability to register offspring and never for more than 12 months. Within the 12-month period, a determination will be made as to the legitimacy of the pedigree, resulting in either permanent Not for Breeding registration status or restoration to the previously recorded status of the dog prior to DNA verification investigation.

3. The owner(s) of the dog, sire and dam are sent DNA kits and requested to provide DNA tissue samples from the dog in question and DNA tissue samples of the sire and dam of such dog to ASCA’s approved DNA laboratory within 60 days. Initial tests will be paid for by ASCA, however, ASCA will require the owner of the dog who incorrectly submitted the litter registration application (usually the owner of the sire and/or dam, if determined through this process) pay for all testing costs incurred which were deemed necessary to resolve the parentage issue.

4. If samples verify the mismatch, the owner(s) of the sire and dam is contacted via letter from ASCA and required to attempt to identify other possible sires/dams. The owners of all puppies from the registered litter are informed of temporary Not for Breeding registration status, sent DNA kits and required to submit DNA samples to ASCA’s approved DNA laboratory. Initial tests will be paid for by ASCA, however, ASCA will require the owner of the dog who incorrectly submitted the litter registration application (usually the owner of the sire and/or dam if determined through this process) pay for all testing costs incurred which were deemed necessary to resolve the parentage issue. This is done in order to assess whether or not the litter was produced from multiple sires. Additionally, if the samples verify the mismatch, the owner(s) of the sire/dam is then required to obtain DNA samples from all possible sires and dams in an optional tiered approach (i.e. test most probable first, test second most probable, etc., until the correct sire and dam is identified). All testing must be complete within 6 months of initial discovery at owners’ expense. In addition, whether or not the sire/dam is correctly identified, the owner(s) of the sire and/or dam may be required to submit samples from all breeding stock in an effort to avoid future pedigree errors and/or additional allegations against the party. These tests will be paid for by ASCA with the exception of tests performed in the tiered approach (above) which are required for the positive identification of the dog(s) in question.

5. If the sire/dam is not identified within this time, all puppies from the litter are placed on permanent Not for Breeding registration status and pedigrees are modified to reflect “unknown” sire/dam.

6. Owner(s) of dogs from all generations, which have been affected by the “unknown” parent, will also be sent letters from ASCA informing them of the situation. The dog in question and all dogs affected by the “unknown” lineage will be placed on Not for Breeding registration status and pedigrees will be modified to correctly reflect the “unknown” origin within their pedigrees.

7. If the sire and dam do not verify the mismatch, the individual filing the protest will have an option to request further investigation (i.e. grand-sire/grand-dam, great grand-sire/great grand-dam investigation, etc.). The expense of further investigation will be borne by the complainant or by the owner of the dog who incorrectly reported the registration information (if determined through this process). ASCA may accept or deny this request, but in wither case will send a complete written explanation of this decision to both parties within 60 days of the request for further investigation.

8. When the investigation has been concluded, if changes in the pedigree are required to reflect the correct relationships, whether the change results in an “unknown” parentage or newly identified parent(s), these changes will be published prominently in the next issue of the Aussie Times for which the publication deadline can be met. The ASCA Business Office Manager will place the following announcement in the Times within the first third of the publication beginning at the top of the page:

Title: (18-point font minimum) “ASCA Registration/Pedigree Correction Announcement”
A DNA parental investigation was conducted involving the below listed dogs. The investigation resulted in the discovery of a registration error. Due to this discovery, these dogs will have their registration papers corrected to reflect their true relationship in order to ensure the integrity of the ASCA registry.

Listing of Corrected Pedigrees: (In not less than 10-point font), the listing will include but is not limited to:

1. Originally registered parents with registration numbers
2. Correct parents with registration numbers (including “unknown” if applicable)
3. Date(s) of birth of all litters which have been affected by the error
4. Names of all registered progeny affected with registration numbers
5. Name(s) of current owner(s) of the dogs with changed pedigrees
6. Name(s) of the breeder(s) of record and stud owner(s), where different.

Footnote (14-point font minimum): “Please contact the ASCA Business Office if you have questions concerning these changes.”

Actions taken for noncompliance with ASCA DNA sample request and/or non-reimbursement of costs incurred:

Should a request for DNA samples be delayed beyond specified time periods, or denied, ASCA may:

1. Revoke registration papers on all dogs involved in the investigation of the potentially “unknown” lines within the pedigree and modify the pedigree to reflect this fact, and/or;
2. Place the dog(s) on Not for Breeding registration status. This may involve the dog(s) in question and/or the parents or relatives of the dog(s) in question if DNA samples are delayed or denied.

Should a party deny a request by ASCA for reimbursement of costs incurred by ASCA® for DNA testing resulting in identification of the party responsible for submitting erroneous pedigree information, ASCA may:

1. Suspend membership rights (including Registry) to that individual(s) until the expenses for such parentage investigation and identification are paid, and/or;
2. Institute additional fines and/or penalties in accordance with established ASCA fines/penalties rules, and/or;
3. Seek additional means to recover costs incurred for such investigation.

2.2.6 ASCA Genetic Engineering

Until the membership of the Australian Shepherd Club of America and its Board of Directors are satisfied each has gained a comfortable level of knowledge and assurances that specific technical, moral and legal aspects of cloning, gene splicing or other artificial attempts to enhance or manipulate the canine genome are resolved, ASCA will not allow registration of any dogs produced by such a manner. Furthermore, if and/or when the membership and the ASCA Board determine such technical, moral and legal assurances have been met, ASCA may or may not alter this rule.

Additionally, due to the extreme seriousness and potential repercussions with respect to this issue, it has been determined that this rule may be changed ONLY by both an affirmative vote of the membership and the ASCA Board of Directors or as allowed by the current Bylaws of the Australian Shepherd Club of America. This rule must abide by all applicable state, local and federal laws within the United States as well as International laws with which the United States has agreed to abide.

2.3 Frozen Semen

Contact Business Office for necessary forms.

REGULATIONS APPLYING TO THE REGISTRATION OF LITTERS PRODUCED THROUGH ARTIFICIAL INSEMINATION USING FROZEN SEMEN. These Regulations shall supplement the ASCA Registry Rules and Regulations for Record Keeping and Identification of Dogs.

Each person* engaged in the collection, freezing, storage, shipping and insemination of frozen semen shall follow such practices and maintain such records as will preclude any possibility of error in identification of any individual dog or doubt as to the parentage of any dog or litter.
RECORDS: To provide a source of reference for the registration of litters of pure-bred Australian Shepherds produced by artificial insemination, using frozen semen, applications for which have been made or may later be made, to the Australian Shepherd Club of America and to assure the accuracy of such applications, certain minimum records must be kept.

All required records shall be made immediately, when dog has been delivered for the purpose of semen collection, at time of shipment of frozen semen, and insemination of same; shall be kept on forms devoted to that exclusive purpose; and shall be consecutive, accurate and up-to-date. Such records shall be maintained for a period of no less than five (5) years from the point in time when the last of the frozen semen from a given donor-dog is used.

*Person defined as: any individual, partnership, firm, corporation, association or organization of any kind.

2.3.1 Records to be Kept by Collector

A. Dog Identification
   1. Breed
   2. ASCA registered name and number of donor-dog, color, marking of donor-dog (include tattoo, if any)
   3. Color photographs of donor-dog (full front and full side views)
   4. Date of birth
   5. ASCA registered name and number of sire and dam
   6. Name and address of owner of donor-dog (ASCA suggests collector also keep a health work-up of donor-dog as part of donor-dog’s identification)
   7. Proof of DNA profiling by ASCA’s approved DNA lab, (dogs exempt if deceased prior to 1/1/99).

B. Collection of Semen
   1. Date on which donor-dog was received
   2. Owner’s authorization of semen collection
   3. Date semen collected, frozen and stored
   4. Number of breeding units stored
   5. Form of semen storage (pellets, ampules, vials, straws, etc.)
   6. Container in which each breeding unit is stored shall be indelibly imprinted to show: Breed, ASCA registration number of donor-dog; Date semen collected

C. Disposition of semen
   1. Identification of shipped semen
   2. Number of breeding units shipped
   3. Name and address of person to whom semen shipped
   4. Authorization of semen owner for shipment(s) semen shipped

D. Collector shall also maintain records of all transfers of ownership of stored semen (See Section VI below re: Transfer of Ownership of Semen)

2.3.2 Records to be Kept by Owners of Donor-Dogs

In addition to the records required to be kept by owners and breeders, as provided in ASCA Rules & Regulations for Record Keeping and Identification of Dogs, owners of dogs from which semen has been collected, frozen and stored, shall include the following:

1. ASCA registered name and number of donor-dog
2. Date dog shipped to collector
3. Name and address of collector
4. Number of breeding units collected, frozen and in storage
5. Location of semen storage
6. Transfer of ownership of semen (see VI below)

2.3.3 Records to be Kept by Owners of Semen of Donor-Dogs When Breeding Has Been Arranged

1. Identification of semen (ASCA registered name and number of donor-dog, date semen collected)
2. Number of breeding units authorized for shipment and insemination
3. Date of shipment
4. To whom semen shipped
5. ASCA registered name and number of bitch to be inseminated
6. Name and address of owner of bitch

2.3.4 Records to be Kept by Breeders
In addition to the records required to be kept by owners and breeders, as provided in ASCA Registry Rules and Regulations, owner (or lessees) of bitches inseminated shall include the following:
1. Name and address of veterinarian who handled insemination
2. ASCA registered name and number of bitch inseminated
3. Date(s) of insemination
4. Identification of semen (ASCA registered name and number of donor-dog date semen collected)

2.3.5 Notification to ASCA by Collector
ASCA shall be immediately notified by collector of collection and freezing of canine semen. Such notification shall identify donor-dog by its ASCA registered name and number; the number of breeding units collected; date stored; address of storage facility; name and address of owner of donor-dog.

2.3.6 Transfer of Ownership of Semen
Records required to be kept by owners of dogs from which semen has been collected and stored, and records required to be kept by collector (if semen is held in storage) must also note transfers of ownership of semen. Such records to include:
1. Authorization of transfer
2. Number of breeding units shipped
3. Date of transfer
4. Name and address of new owner
5. ASCA shall be immediately notified of such transfer of ownership of frozen semen.

2.3.7 Location of Stored Semen
In the event semen is stored at a facility other than the facility at which it was collected frozen and initially stored -or- in the event all or part of the collected frozen semen is transferred to a new owner (see Sections II and VI above), the owner or new owner shall immediately notify ASCA. Such notification shall include:
- Identification of semen (ASCA registered name and number of donor-dog, date semen collected)
- Number of breeding units relocated
- Date transferred to new storage facility
- Name and address of storage facility

2.3.8 Certification
ASCA shall require such certifications from the semen owner, owner (or lessee) of bitch, and licensed veterinarian/accredited inseminator who inseminated bitch as shall be necessary to support an application for registration of a litter of dogs produced by the use of frozen semen.

2.3.9 Inspection
The rules provide that ASCA or its duly authorized representatives shall have the right to inspect the records required to be kept and the practices required to be followed by these regulations and to examine any dog registered or to be registered with ASCA.

2.3.10 Penalties
The rules provide that ASCA may refuse to register any dog or litter or to record the transfer of any dog, for the sole reason the The records required by these regulations do not support application.
The ASCA Board of Directors may suspend any person from all privileges of ASCA for failing to adhere and follow the above regulations.
2.4 Recordkeeping

Each person who owns or breeds dogs which are registered or eligible for registration with the Australian Shepherd Club of America must maintain complete and accurate records as provided in this Rule. Such records shall be maintained by such person for a period of at least 10-years after the date of death of each such dog. If, however, such dog’s sperm has been stored for subsequent breedings after the death of the dog, such records must be maintained for a period of at least 5 years after the last use of such sperm. All such records shall be made available to ASCA upon ASCA’s request.

These records must contain pedigree information on sire and dam, a copy of the litter application or litter record, names, addresses and phone numbers of the buyers of the puppies, adult dogs, and stud services as well as any other information deemed pertinent by the owner and/or breeder. For example, additional information could be pictures of the dogs, height, weight, etc.

The Australian Shepherd Club of America may refuse to register any dog or litter or to record the transfer of any dog for the sole reason the application is not supported by the records required by these rules and the regulations adopted under them.

Any person who willingly or admittedly falsifies registration records, pursues unethical registration practices that render it impossible to identify an individual dog or the parentage of a specific litter, practices inhumane and cruel treatment of animals or refuses to permit an authorized ASCA Registry inspector to examine their breeding records and practices or to examine one or more of their dogs that are ASCA registered or eligible for ASCA registration, may be suspended from all ASCA services and privileges by action of the Board of Directors.

The ASCA Board may suspend the membership of any person who has been charged by a governmental authority with animal cruelty, inhumane treatment of animals or the like, during the pendency of such prosecution.

Failure of litter owner(s) to supply the puppy buyer(s) with an Individual Registration Application may result in loss of ASCA privileges of the litter owner(s), after review by the ASCA Board.

2.5 Forgery

No person may sign the name of another on any ASCA registry document unless such person has in his or her possession, an ASCA Power of Attorney signed by such other person. Any person, who signs the name of another on any ASCA registry document, shall provide ASCA with such Power of Attorney along with such application. The Board of Directors may suspend any person found to have violated this rule from all ASCA services and privileges and may impose other discipline as the Board shall determine. Any registrations which were issued as a result of this violation of this rule may be revoked by the Board.

2.6 Pedigree Service

Pedigree information will be released to any ASCA member upon written request and payment of the designated fee to the ASCA Business Office. (See the forms at the back of these rules.)

2.7 Kennel Name Regulations

2.7.1

All Applicants and co-applicants must have been Full ASCA members in good standing for five (5) continuous years without a lapse in membership prior to applying for a Registered Kennel Name. Junior members and service members are not eligible.

Grandfather clause: Any potential applicant who became a full member on or before December 31, 2018 will be permitted to register a kennel name under the previous rules and regulations Membership requirements. This clause shall expire effective December 31, 2023.
2.7.2
Registered Kennel Names are a lifetime issue and may not be reassigned except by transfer. There are no further fees unless the kennel name is transferred.

Grandfather clause: Effective December 31, 2018, all current kennel names registered under the previous rules and regulations will be converted to permanent status by completing the remaining renewal payments in one lump sum prior to or at the next renewal date. All kennel names must transition to permanent status by December 31, 2023. Kennel names not transferred to permanent status within the allotted time frame shall be considered abandoned and available for other members to use. Any kennel owner unable to comply must request an exemption and make individual arrangements with the Board of Directors.

2.7.3
All owners and co-owners of said kennel name must sign the Individual Dog Registration Application for kennel name to be included on the registration certificate and any pertaining Registry Office files. Once the kennel name is accepted and registered with ASCA, no other breeder, Kennel Owner, or Dog Owner will be permitted to use the kennel name. No variations in spelling of Registered Kennel Names will be allowed without the written permission of all owners of the Registered Kennel Name in question.

2.7.4
Dog names are limited to thirty (30) characters including spaces, punctuation and kennel name, as stated on the Individual Dog Registration Application, unless an additional fee is remitted for additional characters as outlined in section 1.4.11. The kennel name should not contain the words Aussies, Kennels, Farms, etc. as the entire registered kennel name is required to be used when naming the dog.

2.7.5
Up to twenty (20) additional characters including spaces, punctuation and the ASCA registered kennel name can be used. An extended name fee as determined by the ASCA Board of Directors is due at the time of registration.

2.7.6
A kennel name will be recognized only if it is registered with ASCA.

2.7.7
A fee will be required to make any changes in ownership of a registered kennel name. Membership requirements as outlined in 2.7.1 apply to any new owners and co-owners.

2.7.8
No color used independently will be allowed.

2.7.9
A kennel name application and registration fee must be submitted to the ASCA Business Office to register a kennel name.

2.7.10
No offensive or profane language will be permitted.

2.7.11
No new kennel name may contain the exact name of an existing registered kennel, and no variation in spelling will be allowed.

2.7.12
The Business Office will list new kennel names in the Aussie Times with notation to send objections and the reason for the objection to the Business Office for consideration. Newly Registered Kennel names will not be approved prior to 45 days after the announcement has been published in the Aussie Times. Objections to Kennel Name approvals must be made immediately upon discovery of a valid concern but are not limited to the 45-day Aussie Times publication waiting period. Such objections must be submitted to the Registrar at the ASCA Business Office.
New Fee Structure

<table>
<thead>
<tr>
<th>Service</th>
<th>Fee</th>
</tr>
</thead>
<tbody>
<tr>
<td>Kennel Registration Fee</td>
<td>$200.00</td>
</tr>
<tr>
<td>Kennel Transfer Fee</td>
<td>$15.00</td>
</tr>
<tr>
<td>Duplicate Kennel Certificate</td>
<td>$10.00</td>
</tr>
</tbody>
</table>

2.8 Not for Breeding Rules

1. The breeder has the option of checking the “Not for Breeding” box on the Individual Registration Application.
2. If this box is checked, the Registry Office will issue a Registration Certificate in a different color from the regular Registration Certificate and it will be stamped “NOT FOR BREEDING.”
3. The dog’s registration number will have the prefix “N.” No Litter Registration Application submitted using an “N” prefix for the sire or dam will be processed.
4. The Not for Breeding restriction may be rescinded by the litter owner at the litter owner’s option. The litter owner must request the Not for Breeding Release form from the Business Office. It must be signed and notarized by all litter owners/co-owners.
5. A dog with the “N” prefix may compete for titles in all ASCA sanctioned events but no litters from this animal will be eligible registration.

2.9 Required Fees

Fees for individual registration, litter, kennel or transfers are as noted on each application to ASCA recorded Full or Service members. Member rates will apply when a full member pays the registration on a dog co-owned with a service member or when the breeder, who is a Full ASCA member pays the litter or registration fees for puppies they have bred or co-bred.

WHOLE LITTER INDIVIDUAL REGISTRATION

The Litter Owner may send to the Business Office, in a single package, all the Individual Registration Applications, properly completed, with the appropriate fees listed below.

1. Every puppy in the litter (other than those listed as died or culled on the Litter Application or those mentioned in #2 below) must be individually registered with either full privileges or as Not for Breeding by the Litter Owner. All completed Individual Registration Applications for the litter must be received by the Business Office at one time.
2. If a puppy has died after the Individual Registration Application has been sent to the Litter Owner, the owner shall write boldly across the original Application “deceased” or similar wording to indicate the puppy died. This original application shall be included with the complete package of the litter’s Individual Registration Applications but shall not be counted in the number of pups in the litter when calculating fees.
3. Fees:
   - Litter age: 1-12 months - $12.00 per puppy
   - Litter age: 12-24 months - $27.00 per puppy
   - Litter age: 24 months and over - $47.00 per puppy

2.10 Returned Checks

With reference to returned checks, all paper work, i.e., litter applications, individual dog registrations, transfers, kennel name applications, membership applications, etc., will be held pending clearance of the returned check. In the event the debt is not cleared within twenty (20) days from date of notification to debtor, all privileges extended from ASCA including membership, advertising rights, registration of dogs, subscription of newsletters and others so specified, will be suspended. A $40.00 fee will be charged on all returned checks.
# Individual Dog Registration Application

**Australian Shepherd Club of America**

**Established 1957**

**Read the Following Instructions Carefully!**

- **Enclose Two (2) Full Side View Color Photos of the Dog; One Left Side and One Right Side. Digital Prints Acceptable.**
- **No Photocopies of this Form Allowed. If Original Application is Lost, Litter Owners Must Request Duplicate.**
- **No Whiteouts, Lineouts, or Changes to Printed Text Accepted Without Letter of Explanation from the Breeder.**
- **Enclose Check/Money Order (U.S. Funds or Euros) Payable to ASCA, or Fill in Credit Card Information.**
- **Minor Authorization Form Required to Be on File For Owners/Buyers Under 18 Years of Age.**

## Dog Registration Fees (U.S. Funds Only)

<table>
<thead>
<tr>
<th>Age of Dog</th>
<th>Amount Enclosed/To Charge</th>
<th>Dog Registration (Only if Required)</th>
<th>TOTAL</th>
</tr>
</thead>
<tbody>
<tr>
<td>1-12 Months</td>
<td>$15 $30</td>
<td>Extended Name</td>
<td></td>
</tr>
<tr>
<td>12-24 Months</td>
<td>$30 $60</td>
<td>Membership (See Reverse)</td>
<td></td>
</tr>
<tr>
<td>Over 24 months</td>
<td>$50 $100</td>
<td>Pedigree</td>
<td></td>
</tr>
</tbody>
</table>

**Must Limit Name to 30 Characters (Including Spaces & Punctuation), or Pay Additional Fee for Up to 20 More Characters.**

**Extended Name of Dog: Additional $20 Fee if Used**

**Date of Birth**

**Sire’s Registered Name**

**Dam’s Registered Name**

**Please Complete Description of Dog (No Whiteouts/Lineouts Without Note from Breeder)**

<table>
<thead>
<tr>
<th>Main Body Color</th>
<th>Trim Color</th>
<th>Eye Color</th>
<th>Sex</th>
<th>This Animal May Not Be Used for Breeding.</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td>White &amp; Copper</td>
<td>Left Eye</td>
<td></td>
<td></td>
</tr>
<tr>
<td></td>
<td>White</td>
<td>Right Eye</td>
<td></td>
<td></td>
</tr>
<tr>
<td></td>
<td>Copper</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td></td>
<td>No Trim</td>
<td></td>
<td></td>
<td></td>
</tr>
</tbody>
</table>

**Litter Owner(s)**

I hereby give my permission and require the use of my ASCA registered kennel name, ____________.

In naming this dog. (Insert kennel name in name of dog above to ensure use of name, only if kennel name is registered.)

**All Kennel Owners/Co-Owners Must Sign** (Only if Using Kennel Name)

**Kennel Owner’s Signature**

**Date**

**Kennel Co-owner’s Signature**

**Date**

**Litter Owner’s Signature**

**Date**

**Member ID#**

**Litter Co-owner’s Signature**

**Date**

**Member ID#**

**Buyer/Owner Complete (Print) All Owners Must Be Current ASCA Members. Jr’s Include Minor Authorization Form.**

**Name of Buyer/Owner**

**Address**

**City**

**State (ST)**

**Zip**

**Country**

**Membership ID# (if known)**

**Email**

Upon signing, I (we) certify that all data submitted is correct. I (we) understand that all applications become the property of ASCA. If an application has been falsified, no registration certificate will be issued and fees will not be refunded. I hereby certify that this dog has not been registered as another breed. In addition, I will not register this dog as another breed. I understand that if I register this dog as another breed, its ASCA registration will be revoked. Should information in this application prove false, applicant will indemnify and defend ASCA and hold it harmless against any resulting loss. The lineage of your dog can be determined, with certainty, by DNA testing sponsored by ASCA. I (we) further understand that as owner of an ASCA registered dog, I (we) agree to abide by the ASCA Articles of Incorporation, Bylaws, and rules of the ASCA Registries, Confirmation, Obedience, and Stockdog programs, and to disciplinary action, if necessary, as determined by the Board of Directors of the ASCA.

**Buyer’s/Owner’s Signature**

**Date**

**Co-Buyer’s/Owner’s Signature**

**Date**

**Phone**

**EXP:**

**SEC CODE:**

**Cardholder’s Signature**
REQUEST FOR DUPLICATE INDIVIDUAL REGISTRATION APPLICATION

- Duplicate Individual Registration Applications will be issued to litter owner(s) only.
- Service members must pay $10 with first service of each calendar year.
- Make Check or Money order payable to ASCA®, or fill in Credit Card Info.

<table>
<thead>
<tr>
<th>Duplicate Individual Fees</th>
<th>Full Members Pay</th>
<th>Service Members Pay</th>
</tr>
</thead>
<tbody>
<tr>
<td>Please make sure membership is current.</td>
<td>$10.00</td>
<td>$20.00</td>
</tr>
</tbody>
</table>

★Must have the color and sex of the puppy for each duplicate ordered★

Number of duplicates needed:__________

Litter Number __________________________ Date of Birth __________________________ Color __________________________ □Male □Female

Sire’s Registered Name __________________________ ASCA Registration # of Sire __________________________

Dam’s Registered Name __________________________ ASCA Registration # of Dam __________________________

Litter Owner’s Name (PLEASE PRINT) __________________________

Address __________________________

City __________________________ State __________________________ Country __________________________ Zip __________________________

Upon signing I (we) certify that all data submitted is correct. I (we) understand that all applications become the property of ASCA. If an application has been falsified, no registration certificate will be issued and fees will not be refunded. I (we) further understand that as an owner of an ASCA registered dog, I (we) agree to abide by the ASCA Articles of Incorporation, Bylaws, and Rules of Registries, Conformation, Obedience, Agility and Stock Dog Programs and to disciplinary action, if necessary, as determined by the Board of Directors of ASCA.

Litter Owner’s Signature __________________________

Litter Co-Owner’s Signature __________________________

Credit card Number __________________________ Expires __________________________

Card Holder’s Signature __________________________
REQUEST FOR DUPLICATE REGISTRATION CERTIFICATE

- Duplicate certificates will be issued to the current registered owner only.
- Service members must pay $10 with first service of each calendar year.
- Make Check/Money order payable to ASCA, or fill in Credit Card info below.

<table>
<thead>
<tr>
<th>Duplicate Registration Certificate Fees</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
</tr>
<tr>
<td>Please make sure membership is current.</td>
</tr>
<tr>
<td></td>
</tr>
<tr>
<td>Full Members Pay</td>
</tr>
<tr>
<td>Service Members Pay</td>
</tr>
<tr>
<td>$10.00</td>
</tr>
<tr>
<td>$20.00</td>
</tr>
</tbody>
</table>

Fill out as much of the following information as possible.

Registered Name of Dog

ASCA Registration Number

Litter Number

Date of Birth

Name of Litter Owner(s)

Name of Owner(s) (PLEASE PRINT)

Address

Phone

City

State

Country

Zip

I certify that I own the dog named above.

Upon signing I (we) testify that all data submitted is correct. I (we) understand that all applications become the property of ASCA. If an application has been falsified, no registration certificate will be issued and fees will not be refunded. I (we) further understand that as an owner of an ASCA registered dog, I (we) agree to abide by the ASCA Articles of Incorporation, Bylaws, and Rules of Registries, Conformation, Obedience, Agility and Stock Dog Programs and to disciplinary action, if necessary, as determined by the Board of Directors of ASCA.

Owner’s Signature ________________________________

Co-Owner’s Signature ________________________________

Credit card Number

Expires

Card Holder Signature ________________________________
TRANSFER OF OWNERSHIP APPLICATION

- This form replaces a lost registration certificate if completed and signed by the current owner of the dog.
- This form must accompany the Individual Registration Application if the dog is resold prior to being registered.
- Each time the dog is resold prior to its registration, this form must be signed and the fees paid.
- The owner who registers the dog must send all of the transfers together with the fees, the Individual Registration Application, and the registration fee to the Business Office.
- No litter from or by this dog shall be registered until all transfers and registrations are completed.

<table>
<thead>
<tr>
<th>TRANSFER OF OWNERSHIP FEES</th>
</tr>
</thead>
<tbody>
<tr>
<td>New Owner(s) Membership must be current</td>
</tr>
<tr>
<td>$15.00</td>
</tr>
</tbody>
</table>

NEW OWNER INFO

I [We] certify that on (date)______________________, I [We] sold the dog described below to:

Name(s) of New Owner(s):______________________________________________________

Address of New Primary Owner:__________________________________________________

City, State, Country, & Zip Code:________________________________________________

ASCA Membership Number(s):__________________________________ [All Owners MUST be Current ASCA Members]

SELLER INFO

Name(s) of Seller(s):__________________________________________________________

Address of Primary Seller:_____________________________________________________

City, State, Country & Zip Code:________________________________________________

Signature(s) of Seller(s):_______________________________________________________

Dog’s Registered/Proposed name:______________________________________________ Sex: M F

Date of Birth____________________ Registration #:___________________ Litter #:________ Body Color __________________

I [We] certify that all data submitted is correct. I [We] understand that all applications become the property of ASCA, Inc. If an application has been falsified, no registration certificate will be issued and fees will not be refunded. Should information in this application prove false, applicant will indemnify and defend ASCA and hold it harmless against any resulting loss. The lineage of your dog can be determined with certainty by DNA testing sponsored by ASCA, Inc. I [We] further understand that as an owner of an ASCA registered dog, I [We] agree to abide by the ASCA Articles of Incorporation, Bylaws, and rules of the ASCA Registry, Conformation, Obedience and Stock Dog Programs and to disciplinary action, if necessary, as determined by the ASCA Board of Directors.

New Owner’s Signature___________________________________________ Date ______________

New Co-Owner’s Signature________________________________________ Date ______________

Other Co-Owners’ Signatures_______________________________________ Date ______________

ALL OWNERS/CO-OWNERS MUST SIGN

_______________________________________ Cardholder’s Signature _____________________________

EXP: _______ _______ _______ 

SEC CODE: _______ _______ _______ 

Rev 12.12.11
NOT FOR BREEDING RELEASE

☐ To be submitted with the original registration certificate.
☐ All signatures must be notarized.

NFB RELEASE FEE

$10.00

Sec Code:  
Cardholder’s Signature:  

Registered Name of Dog  
ASCA Registration Number

Litter Owner/Co-Owner(s)

I hereby release the Not For Breeding stipulation on this dog. As of  

dog may be used for breeding.

M M D D Y Y

Upon signing I (We) testify that all data submitted is correct. I (We) understand that all applications become the property of ASCA. If application has been falsified, no registration certificate will be issued and fees will not be refunded. I (We) further understand that as an owner of an ASCA registered dog, I (We) agree to abide by the ASCA Articles of Incorporation, Bylaws, and Rules of Registries, Conformation, Obedience and Stockdog Programs and to disciplinary action, if necessary, as determined by the Board of Directors of the ASCA.

Litter Owner Signature

Litter Co-Owner(s) Signature

All signatures must be notarized

State

County

Subscribed and sworn to before me

this ______ day of ______________, 20____

Notary Public

Date My Commission Expires
CERTIFIED PEDIGREE REQUEST FORM

REGULATIONS:

RULE 1: Pedigree information will be released to any ASCA member upon written request and payment of the designated fee to the ASCA Business Office.

RULE 2: Pedigree fee must accompany Pedigree Request Form. Make checks payable to ASCA, or include credit card information in the space provided below. You may mail, fax, or email this form to the Business Office.

<table>
<thead>
<tr>
<th>5 Generation Pedigree Fees (check one)</th>
</tr>
</thead>
<tbody>
<tr>
<td>Large (11&quot; x 17&quot;): $35</td>
</tr>
<tr>
<td>Small (8 ½&quot; x 11&quot;): $25</td>
</tr>
<tr>
<td>Emailed: $10</td>
</tr>
</tbody>
</table>

ASCA Membership ID# __________________________ (Must be a current ASCA member)

Name of Owner/Breeder/Person making request: ____________________________________________

____________________________________________________________________________________

Address                                                                                   City

____________________________________________________________________________________

State                                                                                     Zip

____________________________________________________________________________________

Phone Number                                                                              Email address

____________________________________________________________________________________

Registered Name of Dog                                                                      Dog Registration ID#

____________________________________________________________________________________

Signature of Person Requesting Pedigree                                                    Date

____________________________________________________________________________________

Cardholder’s Signature

SEC CODE: _______________________________ EXP: _______ _______ _______
KENNEL NAME REGISTRATION APPLICATION AND RENEWAL

REGULATIONS

Rule 1. Applicant(s) must have been a Full (Single/Dual/Lifetime) ASCA member(s) in good standing for two (2) previous consecutive years. Applicant(s) must be 18 years of age or older.

Rule 2. Kennel Names registered from 1971 to 1/15/76 are a lifetime issue and may not be reassigned except by transfer. There are no further kennel renewal fees unless the kennel name is transferred.

Rule 3. Kennel Names registered from 1/16/76 to present must be renewed every three years. Kennel name will be considered abandoned if renewal payment is not postmarked on or before the expiration date. The original owner of the kennel name may renew or transfer the abandoned kennel name for the first five years following abandonment of the kennel name, but after the five years has passed, anyone can register the kennel name as their own (effective 1/1/02).

Rule 4. All owners or partners of said Kennel Name must sign application for Kennel Name to be included on certificate and registry office file pertaining to same. Once the Kennel Name is accepted and registered with ASCA, no other breeder or owner will be permitted to use the Kennel Name or sound alike names without written permission of recorded owner(s) of said Kennel Name when the Kennel Name is placed at the beginning of a dog's name or at the end when it is preceded by the word "of". (1/1/02)

Rule 5. Dog's names are limited to thirty (30) letters including spaces, punctuation and Kennel Name as stated under Individual Dog Registrations. It is suggested that the kennel name not contain the words Aussies, Kennels, Farms, etc as the entire kennel name is required to be used when naming the dog.

Rule 6. A Kennel Name will be recognized only if it is registered with ASCA.

Rule 7. A fee will be required to make any deletions, additions, or transfers in ownership of a Kennel Name registration.

Rule 8. No variations in spelling or sound alike names will be allowed.

Rule 9. No color used independently will be allowed.

Rule 10. A Kennel Name application and the registration fee must be submitted to the ASCA Business Office when either the initial or renewal application is made.

Rule 11. After five renewals, a total of fifteen (15) years, a Kennel Name is permanent.

Rule 12. No offensive or profane language will be permitted.

Rule 13. No new Kennel Name may contain the exact name of an existing registered kennel.

Rule 14. Renewal applications must be submitted by a present member in good standing with ASCA.

Rule 15. The Business Office will list kennel names in the Aussie Times with notation to send objections and the reason for the objection to the Business Office for consideration.

FEES

<table>
<thead>
<tr>
<th>Fees</th>
<th>Amount</th>
</tr>
</thead>
<tbody>
<tr>
<td>Initial Registration</td>
<td>$100.00</td>
</tr>
<tr>
<td>Renewal</td>
<td>$25.00</td>
</tr>
<tr>
<td>Add, Delete, or Change of Ownership</td>
<td>$10.00</td>
</tr>
<tr>
<td>Renewal of Abandoned Kennel Name</td>
<td>$50.00</td>
</tr>
<tr>
<td>Duplicate Certificate</td>
<td>$5.00</td>
</tr>
</tbody>
</table>

REQUESTED KENNEL NAME (Please print or type):

First Choice

Second Choice

Owner’s/Co-owner(s) Name(s)

Address/City/State/Country/Zip

ASCA Membership Number Email Address Phone

Owner’s Signature

Co-owner’s Signature

ALL OWNERS/CO-OWNER’S MUST SIGN

Credit Card Information

<table>
<thead>
<tr>
<th>Credit Card Type</th>
<th>Card Number</th>
<th>Security Code</th>
</tr>
</thead>
<tbody>
<tr>
<td>Security Code</td>
<td>Cardholder’s Signature</td>
<td></td>
</tr>
</tbody>
</table>

EXP:
# Litter Registration Application

- Submit this form together with the appropriate fee to receive an Individual Registration Application for each live puppy listed on the reverse side of this form.
- All litter owners **MUST be a Full or Service Member** to register a litter with ASCA. Minor owners must have a signature authorized by the Minor Authorization Form. Membership fees are in addition to Registration fees - See Reverse.
- All Sires and Dams **MUST be DNA Profiled** prior to the registration of the first litter.
- Make Checks/ Money order payable to ASCA, or fill in Visa/MasterCard number at bottom.

**Send Application To:**
ASCA Business Office  
6091 E. State Hwy 21  
Bryan TX, 77808

<table>
<thead>
<tr>
<th>Litter Registration Fees (Effective 6/1/07)</th>
<th></th>
<th></th>
</tr>
</thead>
<tbody>
<tr>
<td>Litter Ages:</td>
<td>Full Members Pay</td>
<td>Service Members Pay</td>
</tr>
<tr>
<td>Litter Age 0-6 Months</td>
<td>$21.00</td>
<td>$42.00</td>
</tr>
<tr>
<td>Litter Age 6-12 Months</td>
<td>$30.00</td>
<td>$60.00</td>
</tr>
<tr>
<td>Litter Age 12-24 Months</td>
<td>$55.00</td>
<td>$110.00</td>
</tr>
<tr>
<td>Litter Age 24 &amp; Older</td>
<td>$110.00</td>
<td>$220.00</td>
</tr>
</tbody>
</table>

**Office Use Only**
- Check No.___________________________
- Amount_____________________________
- PM Date____________________________
- Reg. No_____________________________

## Section I – To be completed by Sire Owner

- **ASCA Registered Name of Sire**
- **Sire’s ASCA Registration #**
- **Body Color**

- **Name of owner of Sire (or Lessee) **List all owners/lessees**
- **DNA Status**

- **Address, City, State, Zip Code**
- **Membership Number**

I, the sire owner or lessee at the time of mating, hereby certify that the below named dam was mated to above named sire and that:
(Please check one)  □ I witnessed the mating.  □ I did not witness the mating.

I (we) further certify that I was the owner, co-owner, or lessee of the sire at the time of mating.

- **Sire Owner’s and Co-owner’s Signature(s) (or Lessee)**
- **Date**

## Section II – To be completed by Dam Owner

- **Date(s) of Mating**

<table>
<thead>
<tr>
<th>M</th>
<th>M</th>
<th>D</th>
<th>D</th>
<th>Y</th>
<th>Y</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
</tbody>
</table>

**DATE OF WHELPING**

- **ASCA Registered Name of Dam**
- **Dam’s ASCA Registration #**
- **Body Color**

- **Name of Owner of Dam (or Lessee) **List owners/lessees**
- **DNA Status**

- **Address, City, State, Zip Code**
- **Membership Number**

**DAM OWNER(S): COMPLETE & SIGN REVERSE SIDE OF THIS FORM**

- **Cardholder’s Signature**
- **SEC CODE:**
  - **EXP:**
### Section III - List All Puppies - Live and Otherwise

<table>
<thead>
<tr>
<th>Sex of Puppy</th>
<th>Color of Puppy</th>
<th>Trim Color of Puppy</th>
<th>Please mark this column if the pup died or was culled. If additional space is needed, attach a separate sheet containing this information.</th>
</tr>
</thead>
<tbody>
<tr>
<td>Male (M)</td>
<td>RM</td>
<td>WC</td>
<td></td>
</tr>
<tr>
<td>Female (F)</td>
<td></td>
<td></td>
<td></td>
</tr>
</tbody>
</table>

<table>
<thead>
<tr>
<th>Sample</th>
<th>M</th>
<th>RM</th>
<th>WC</th>
</tr>
</thead>
<tbody>
<tr>
<td>1</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>2</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>3</td>
<td></td>
<td></td>
<td></td>
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<tr>
<td>4</td>
<td></td>
<td></td>
<td></td>
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<tr>
<td>5</td>
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<td>6</td>
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<td>7</td>
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<td>8</td>
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<td>9</td>
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<td>10</td>
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<td>11</td>
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<td></td>
<td></td>
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<tr>
<td>12</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>13</td>
<td></td>
<td></td>
<td></td>
</tr>
</tbody>
</table>

X

**Dam/Litter owner's and Co-Owner's signature(s)**

I (we) hereby certify that all data submitted is correct. I further understand that all applications become the property of ASCA. I further certify that I was the owner, co-owner, or lessee of the dam listed on this application or the sire of the litter and that this dam was not used only to the sire listed on this application. Should information in this application prove false, applicant(s) will indemnify and defend ASCA and hold it harmless against any resulting loss. The lineage of your dog can be determined with certainty by DNA testing sponsored by ASCA, Inc. I (we) further understand that as an owner of an ASCA registered litter, I (we) agree to abide by the ASCA Articles of Incorporation, Bylaws, and rules of the ASCA Registry. Should any action be taken, I (we) agree to abide by the ASCA Board of Directors. I hereby certify these dogs have not been registered as another breed. In addition, I (we) will not register these dogs as another breed. I understand if I register these dogs as another breed, their ASCA registration will be revoked.

**ALL OWNERS/CO-OWNERS MUST SIGN**

### Membership Application and Renewal

<table>
<thead>
<tr>
<th>FWA (life membership)</th>
<th>$250</th>
</tr>
</thead>
<tbody>
<tr>
<td>FWA (5-year membership)</td>
<td>$200</td>
</tr>
<tr>
<td>FWA (2-year membership)</td>
<td>$100</td>
</tr>
</tbody>
</table>

**INSTRUCTIONS**

1. Complete one form per dog.
2. Attach a photo of each dam and sire.
3. Include the following information:
   - Name
   - Address
   - City
   - State
   - Zip Code
   - Country
   - Telephone
   - Email

4. I (we) agree to abide by the ASCA Articles of Incorporation, Bylaws and all ASCA Rules and Regulations governing the ASCA Registry and all other ASCA Programs.

---

**DO NOT COMPLETE THE FOLLOWING INFORMATION UNLESS OWNERSHIP OF THE DAM CHANGED AFTER SHE WAS MATED BUT BEFORE THE PUPPIES WERE WHelpED**

- **Name of Dam owner at time of mating**
- **Phone number**
- **Email**
- **Reg. Owner Signature (at time of mating)**
- **Date**

---

**Additional Postage Options for Aussie Times**

<table>
<thead>
<tr>
<th>FIRST CLASS</th>
<th>SURE AGE</th>
</tr>
</thead>
<tbody>
<tr>
<td>USA</td>
<td>$0.35</td>
</tr>
<tr>
<td>CANADA</td>
<td>$0.52</td>
</tr>
<tr>
<td>ALL OTHER</td>
<td>$0.54</td>
</tr>
</tbody>
</table>

---

**Additional Information**

- **I would like to make a tax deductible donation to the ASCA Foundation in the following amount (please send a separate payment):**
  - Epilepsy Research
  - Junior Scholarship
  - Other

---

**Acknowledgment**

- **Acknowledged by:**
  - Name #1
  - Name #2

---

**Signatures**

- **Owner/Co-owner must sign:**
  - R
  - R

---

**Page:** 30
MULTIPLE SIRE LITTER REGISTRATION APPLICATION

- A litter registration fee must be submitted for each sire. If an additional sire is indicated by DNA testing, the fee must be submitted before the Individual Registration Application(s) will be processed.
- All litter owners MUST be a Full or Service Member to register a litter with ASCA. Minor owners must have a Minor Authorization Form on file. Membership fees are in addition to registration fees.
- DNA verification of puppy’s parentage, by ASCA’s lab, must be submitted to ASCA before an Individual Registration Application is issued. Individual Registration Applications will be issued as the DNA verifications are received.
- Make Checks/ Money order payable to ASCA, or fill in Visa/MasterCard number at bottom.

Send Application To:
ASCA Business Office
6091 E. State Hwy 21
Bryan TX, 77808

Litter Registration Fees [Effective 6/1/07] – FEES ARE PER SIRE

<table>
<thead>
<tr>
<th>Litter Ages:</th>
<th>Full Members Pay</th>
<th>Service Members Pay</th>
</tr>
</thead>
<tbody>
<tr>
<td>Litter Age 0-6 Months</td>
<td>$21.00</td>
<td>$42.00</td>
</tr>
<tr>
<td>Litter Age 6-12 Months</td>
<td>$30.00</td>
<td>$60.00</td>
</tr>
<tr>
<td>Litter Age 12-24 Months</td>
<td>$55.00</td>
<td>$110.00</td>
</tr>
<tr>
<td>Litter Age 24 &amp; Older</td>
<td>$110.00</td>
<td>$220.00</td>
</tr>
</tbody>
</table>

Office Use Only
Check No__________________
Amount____________________
PM Date___________________
Reg. No___________________

Section I – To be completed by Sire Owner(s)

List all possible sires. If more than 3 sires, list on a separate sheet of paper. If DNA results indicate a sire other than those listed, this section can be amended.

SIRE #1

ASCA Registered Name of Sire__________________________________________ Sire’s ASCA Registration #________________________ Body Color________________________

Name of owner of Sire (or Lessee) *List all owners/lessees ______________________________ DNA Status________________________

Address, City, State, Zip Code________________________________________ Membership Number________________________

I, the sire owner or lessee at the time of mating, hereby certify that the below named dam was mated to above named sire and that:
(Please check one)☐ I witnessed the mating. ☐ I did not witness the mating.

I (we) further certify that I was the owner, co-owner, or lessee of the sire at the time of mating.

Sire Owner’s and Co-owner’s Signature(s) (or Lessee)________________________ Date________________________

Cardholder’s Name__________________________________________

SEC CODE: _______ _______ Cardholder’s Signature________________________

EXP: _____/_____

Effective 07/01/06, a fee of $75.00 may be added (in addition to the normal registry fees charged) to expedite registry applications. If a delay occurs due to the owner not filling out the form properly, not sending the proper attachments or submitting the correct fee, no refund will be made.
SIRE #2

ASCA Registered Name of Sire

Sire’s ASCA Registration #

Body Color

Name of owner of Sire (or Lessee) *List all owners/lessees

DNA Status

Address, City, State, Zip Code

Membership Number

I, the sire owner or lessee at the time of mating, hereby certify that the below named dam was mated to above named sire and that:
(Please check one) □ I witnessed the mating. □ I did not witness the mating.

I (we) further certify that I was the owner, co-owner, or lessee of the sire at the time of mating.

Sire Owner’s and Co-owner’s Signature(s) (or Lessee)

Date

SIRE #3

ASCA Registered Name of Sire

Sire’s ASCA Registration #

Body Color

Name of owner of Sire (or Lessee) *List all owners/lessees

DNA Status

Address, City, State, Zip Code

Membership Number

I, the sire owner or lessee at the time of mating, hereby certify that the below named dam was mated to above named sire and that:
(Please check one) □ I witnessed the mating. □ I did not witness the mating.

I (we) further certify that I was the owner, co-owner, or lessee of the sire at the time of mating.

Sire Owner’s and Co-owner’s Signature(s) (or Lessee)

Date

Section II – To be completed by Dam Owner

Date(s) of Mating

DATE OF WHELPING

ASCA Registered Name of Dam

Dam’s ASCA Registration #

Body Color

Name of Owner of Dam (or Lessee) * List owners/lessees

DNA Status

Address, City, State, Zip Code

Membership Number

DAM OWNER(S): COMPLETE & SIGN PAGE 3 OF THIS FORM
## Section III - LIST ALL PUPPIES - LIVE AND OTHERWISE

<table>
<thead>
<tr>
<th>Box of Puppy</th>
<th>Color of Puppy</th>
<th>Trim Color of Puppy</th>
<th>Mark if pup died/culled</th>
<th>THIS COLUMN FOR OFFICE USE ONLY</th>
</tr>
</thead>
<tbody>
<tr>
<td>Male - M</td>
<td>BM - Black Merle</td>
<td>WC = White/Copper</td>
<td>X</td>
<td>Registration # if sire when verified</td>
</tr>
<tr>
<td>Female - F</td>
<td>RM - Red Merle</td>
<td>C = Copper</td>
<td></td>
<td></td>
</tr>
<tr>
<td>Blank</td>
<td>Blank</td>
<td>Blank</td>
<td>Blank</td>
<td>Blank</td>
</tr>
</tbody>
</table>

### Dam/Litter owner’s and Co-Owner’s signature(s)

I/we certify that all data submitted is correct. I/we understand that all applications become the property of ASCA. I further certify that I/we was the owner, co-owner, or breeder of the dam listed on this application and the date of birth of the litter and that this dam was noted only to the sire listed on this application. Should information in this application prove false, application will be nullified and defined as an accepted litter. I/we understand that all ASCA registered litter, I/we agree to abide by the ASCA Articles of Incorporation, Bylaws, and rules of the ASCA Registry, Conformation, Obedience and Stock Dog Programs and to disciplinary action, if necessary, as determined by the ASCA Board of Directors. I/we hereby certify these dogs have not been registered as another breed. In addition, I/we understand I/we register these dogs as another breed. I/we understand I/we register these dogs as another breed.

### ALL OWNERS/CO-OWNERS MUST SIGN

### MEMBERSHIP APPLICATION AND RENEWAL

- **FULL Includes:** 1 yr Aussie Times Subscription (outside US add below for postage), 6 yr Aussie Times Subscription, lower registration fees & voting privileges.
- **LIFETIME Includes:** Aussie Times Subscription, lower registration fees & voting privileges.
- **JUNIOR (ages 8-17) INCLUDES Lower registration fees & voting privileges.
- **SERVICE** Includes: ASCA registration services & standard registration fees (No "Aussie Times"

<table>
<thead>
<tr>
<th>SERVICE</th>
<th>AGE</th>
<th>PRICE</th>
</tr>
</thead>
<tbody>
<tr>
<td>JUNIOR</td>
<td>8-17</td>
<td>$25</td>
</tr>
<tr>
<td>FULL</td>
<td>1 yr</td>
<td>$25</td>
</tr>
<tr>
<td>LIFETIME</td>
<td></td>
<td>$50</td>
</tr>
<tr>
<td>USA</td>
<td></td>
<td>$25</td>
</tr>
<tr>
<td>CANADA</td>
<td></td>
<td>$50</td>
</tr>
<tr>
<td>ALL OTHER</td>
<td></td>
<td>$50</td>
</tr>
</tbody>
</table>

### ADDITIONAL POSTAGE OPTIONS FOR AUSSIE TIMES

- **FIRST CLASS:** USA $25, CANADA $25, ALL OTHER $25

### DO NOT COMPLETE THE FOLLOWING INFORMATION UNLESS OWNERSHIP OF THE DAM CHANGED AFTER SHE WAS STATED IN THE APPLICATION BEFORE THE PUPPIES WERE WHelpED.

- **Name:**
- **Address:**
- **City:**
- **State:**
- **Zip:**
- **Country:**
- **Telephone:**
- **Email:**

I (We) agree to abide by the ASCA Articles of Incorporation, Bylaws and all ASCA Rules and Regulations governing the ASCA Registry and all other ASCA Programs.

---

Note: The page number is 33.
ARTIFICIAL INSEMINATION USING FROZEN OR CHILLED SEMEN

The Australian Shepherd Club Of America will consider this application to register a litter resulting from artificial insemination using frozen or chilled semen provided the litter is, in all other respects, eligible for registration and the following conditions are met:

1. The collection of semen for the artificial breeding must have been reported to ASCA and the collector/storer must be on record with ASCA as familiar with and complying with ASCA regulations for recordkeeping and identification of dogs (see back page).

2. The certifications contained herein must be completed by the owner of the semen, the owner of the female and the veterinarian/accredited inseminator who performed the artificial breeding.

3. Any ASCA registered male from whom semen is collected and frozen on or after January 1, 1999, for future use in breeding, must be DNA profiled through ASCA’s current lab at that time. Litter applications which indicate the use of frozen semen from males who were not DNA profiled prior to death will require:
   a. Return of the male’s ASCA Registration Certificate indicating a date of death earlier than January 1, 1999; and
   b. A letter from the storer of the semen indicating that the semen was collected and frozen prior to January 1, 1999.

This application form along with the ASCA litter application and registration fee should be mailed, faxed, or scanned and emailed to the ASCA Business Office.
REGULATIONS APPLYING TO THE REGISTRATION OF LITTERS PRODUCED THROUGH ARTIFICIAL INSEMINATION USING FROZEN SEMEN.

These Regulations shall supplement the ASCA Registry Rules and Regulations for Record Keeping & Identification of Dogs.

Each person engaged in the collection, freezing, shipping, and insemination of frozen semen shall follow such practices and maintain such records as will preclude any possibility of error in identification of any individual dog or dog as to the parentage of any dog or litter.

RECORDS: To provide a source of reference for the registration of litters of pure-bred dogs produced by artificial insemination, using frozen semen, applications for which have been made or may later be made, to the Australian Shepherd Club of America and to assure the accuracy of such applications, certain minimum records must be kept. All required records shall be made immediately, when dog has been delivered for the purpose of semen collection, at time of shipment of frozen semen, and insemination of same; shall be kept on forms devoted to that exclusive purpose; and shall be consecutive, accurate and up-to-date. Such records shall be maintained for a period of no less than five years from the point in time when the last of the frozen semen from a given donor-dog is used.

*Person defined as: any individual, partnership, firm, corporation, association or organization of any kind*.

I. RECORDS TO BE KEPT BY COLLECTOR:

A. Dog Identification
   - Breed
   - ASCA registered name and number of donor-dog
   - Sex, color, marking of donor-dog (include tattoo, if any)
   - Color photographs of donor-dog (full front and full side views)
   - Date of birth
   - ASCA registered name and number of sire and dam
   - Name and address of owner of donor-dog
   - (ASCA suggests that collector also keep a health work-up of donor-dog as part of donor-dog's identification)
   - Proof of DNA profiling by ASCA's current choice of DNA lab, (dogs exempt if deceased prior to 1/1/99).

B. Collection of Semen:
   - Date on which donor-dog was received
   - Owner's authorization of semen collection
   - Date semen collected, frozen and stored
   - Number of breeding units stored
   - Form of semen storage (Pellets, Ampoules, Vials, Straws, etc.)
   - Container in which each breeding unit is stored shall be indelibly imprinted to show: Breed, ASCA registration number of donor-dog;
   - Date semen collected
   - Disposition of semen:
     - Identification of shipped semen
     - Number of breeding units shipped
     - Name and address of person to whom semen shipped
     - Authorization of semen owner for shipment
     - Date(s) semen shipped

Collector shall also maintain records of all transfers of ownership of stored semen (See Section VI below: Transfer of ownership of semen)

II. RECORDS TO BE KEPT BY OWNERS OF DONOR-DOGS

In addition to the records required to be kept by owners and breeders, as provided in "ASCA Rules & Regulations for Record Keeping and Identification of Dogs," owners of dogs from which semen has been collected, frozen and stored, shall include the following:
   - ASCA registered name and number of donor-dog
   - Date dog shipped to collector
   - Name and address of collector
   - Number of breeding units collected, frozen and in storage
   - Location of semen storage
   - Transfer of ownership of semen (see VI below)

III. RECORDS TO BE KEPT BY OWNERS OF SEMEN OF DONOR-DOGS WHEN BREEDING HAS BEEN ARRANGED

- Identification of semen (ASCA registered name and number of donor-dog, date semen collected)
- Number of breeding units authorized for shipment and insemination
- Date of shipment
- To whom semen shipped
- ASCA registered name and number of bitch to be inseminated
- Name and address of owner of bitch

IV. RECORDS TO BE KEPT BY BREEDERS

In addition to the records required to be kept by owners and breeders, as provided in ASCA Registry Rules and Regulations, owner (or lessee) of bitches inseminated shall include the following:
   - Name and address of veterinarian who handled insemination
   - ASCA registered name and number of bitch inseminated
   - Date(s) of insemination
   - Identification of semen (ASCA registered name and number of donor-dog, date semen collected)

V. NOTIFICATION TO ASCA BY COLLECTOR

ASCA shall be immediately notified by collector of collection and freezing of canine semen. Such notification shall identify donor-dog by its ASCA registered name and number; the number of breeding units collected; date stored; address of storage facility; name and address of owner of donor-dog

VI. TRANSFER OF OWNERSHIP OF SEMEN

Records required to be kept by owners of dogs from which semen has been collected and stored, and records required to be kept by collector (if semen is held in storage) must also note transfers of ownership of semen. Such records to include:
   - Authorization of transfer
   - Number of breeding units shipped
   - Date of transfer
   - Name and address of new owner
   - ASCA shall be immediately notified of such transfer of ownership of frozen semen.

VII. LOCATION OF STORED SEMEN

In the event semen is stored at a facility other than the facility at which it was collected frozen and initially stored or in the event all or part of the collected frozen semen is transferred to a new owner (see Sections II and VI above), the owner or new owner shall immediately notify ASCA. Such notification shall include:
   - Identification of semen (ASCA registered name and number of donor-dog, date semen collected)
   - Number of breeding units relocated
   - Date transferred to new storage facility
   - Name and address of storage facility

VIII. CERTIFICATION

ASCA shall require such certifications from the semen owner, owner (or lessee) of bitch, and veterinarian/accredited inseminator who inseminated bitch as shall be necessary to support an application for registration of a litter of dogs produced by the use of frozen semen.

IX. INSPECTION

The rules provide that ASCA or its duly authorized representatives shall have the right to inspect the records required to be kept and the practices required to be followed by these regulations and to examine any dog registered or to be registered with ASCA.

X. PENALTIES

The rules provide that ASCA may refuse to register any dog or litter or to record the transfer of any dog, for the sole reason that the application is not supported by the records required by these regulations.

The rules also provide that the Board of Directors of ASCA may suspend from all privileges of ASCA any person who fails to observe the above regulations.
APPLICATION

Section I - TO BE COMPLETED BY OWNER and CO-OWNER OF FROZEN OR CHILLED SEMEN

I CERTIFY that I am the owner co-owner of frozen or chilled semen of the male

(ASCA Registered Name AND Number of Male)

and that I authorized shipment by ________________________ of frozen semen

(Name of shipper of semen)

collected from this male to ______________________________________

(Name and Address)

for the purpose of inseminating the female

(ASCA Registered Name AND Number)

Owner Signature___________________________________________

Co-Owner Signature________________________________________

Printed Name________________________________________________________________

Address

(Number and Street) (City) (State) (Zip)

Section II - TO BE COMPLETED BY OWNER, CO-OWNER (OR IF LEASED, LESSEE) OF FEMALE:

I CERTIFY that I am the owner co-owner of the female

(ASCA Registered Name AND Number of Female)

and that on ________________, I delivered the female to ______________________________________

(Veterinarian/Accredited Inseminator)

and authorized him/her to inseminate this female with semen collected previously from the male

(ASCA Registered Name AND Number of Male)

I did did not witness the artificial breeding.

I CERTIFY further that no other male serviced this female artificially or otherwise prior or subsequent to this artificial breeding during the female’s season.

Signature_____________________________________________________

Printed Name________________________________________________________________

Address

(Number and Street) (City) (State) (Zip)
I CERTIFY that on ____________________ I received fresh, frozen/chilled semen (please circle one) of the above named male from:

______________________________________________

(Name and Address)

I inseminated the female named above with said semen on the following date(s) ____________________.

I affirm that the container, breeding unit no. ** ____________________ was sealed when presented to me, and that none of the semen was used to inseminate any other female. Insemination was performed at the following address:

__________________________________________________________

(Number and Street)    (City)    (State)    (Zip)

Signature__________________________________________________________

Printed Name_________________________________________________________

License # (If Applicable) ____________________

*Accredited Inseminator shall mean: any person who has completed a course in artificial insemination and is certified the same. Any such person shall supply the ASCA® Business Office with a copy their Certificate.

WHELPING INFORMATION

Section IV - TO BE COMPLETED BY OWNER, CO-OWNER (OR, IF LEASED, LESSEE) OF FEMALE AT TIME OF WHELPING - SUBMIT WITH LITTER REGISTRATION APPLICATION AND FEE.

Litter Place of Birth ___________________________________________ Litter Date of Birth ____________________

(City, State) M M D D Y Y

Number Puppies

Males

Females

Indicate number of living dogs in this litter on the date this application is submitted to ASCA.

Signature of owner/co-owner/lessee of female on date of whelping.

Signature_________________________________________________________

Printed Name_________________________________________________________

Address__________________________________________________________

(Number and Street)    (City)    (State)    (Zip)

NOTE: If ownership changed or lease terminated while female was in whelp, complete the following:

I certify that I was the owner, co-owner or lessee of female on the date of whelping and that this female was not mated to any other male during her season.

__________________________________________________________

(Signature)
AUSTRALIAN SHEPHERD CLUB OF AMERICA®
6091 E State Hwy 21 P: (979) 778-1082
Bryan, TX 77808 F: (979) 778-1898
www.asca.org registrar@asca.org

OFFICE USE ONLY
PAID #___________
AMOUNT_________

ASCA LEASE AGREEMENT FOR ASCA REGISTRY PURPOSES ONLY

This form is solely for the purpose of determining who may sign ASCA registry forms on this dog, during the specified period. This form must be completed and filed with the ASCA Business Office before any litters will be registered.

All Lessees
Memberships must be current

<table>
<thead>
<tr>
<th>LEASE AGREEMENT FEES</th>
<th>Full Members</th>
<th>Service Members</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td>$12</td>
<td>$24</td>
</tr>
</tbody>
</table>

SEX: ☐ MALE ☐ FEMALE

DOG'S REGISTERED NAME: __________________________ ASCA® REG. #: __________________________

NAMES OF ALL OWNERS/CO-OWNERS: _____________________________________________________________

ADDRESS OF LEASOR: ____________________________________________________________

CITY: __________________________ STATE: _______ ZIP: __________________ PHONE: _____________

☐ I/we as owner(s) of the above named Australian Shepherd, agree to allow this animal to be DNA profiled for parentage verification through the ASCA® DNA program. (Initial this box signifies the owner's consent.)

I HEREBY LEASE THE ABOVE AUSTRALIAN SHEPHERD TO THE FOLLOWING PERSON(S):

NAME(S): __________________________________________________________

MEMBER ID #S: __________________________________________________________

PRIMARY LESSEE ADDRESS: _______________________________________________________

CITY: __________________________ STATE: _______ ZIP: __________________ PHONE: _____________

PERIOD OF LEASE AGREEMENT BEGINS: _______ END: _______

M M D D Y Y    M M D D Y Y

Upon signing, I [We] testify that all data submitted is correct. I [We] understand that all applications become the property of ASCA®. If an application has been falsified, the lease will be revoked and fees will not be refunded. I [We] further understand that as an owner/lessee of an ASCA® registered dog, I [We] agree to abide by the ASCA® Articles of Incorporation, Bylaws, and Rules of the ASCA® Registry, Conformation, Obedience, Agility and Stock Dog programs, and to disciplinary action, if necessary, as determined by the Board of Directors of ASCA®.

SIGNATURE(S) OF LESSEE(S): __________________________ DATE: __________________________

SIGNATURE(S) OF LESSEE(S): __________________________ DATE: __________________________
(Continued)

SIGNATURE OF OWNER(S): __________________________ DATE: __________________________

(All owners must sign)

Cardholder's Name:

SEC CODE: __________ Cardholder's Signature __________________________

EXP: __________

Revised 5/2/12
REQUEST FOR DNA TEST KITS

I, ________________________, would like to request _________ DNA Test kit(s).

[Your Name]  [Number]

Payment: The amount includes the price of the kit and the testing fee charged to ASCA, by the lab. This must be paid to ASCA when purchasing the kits.

Number of Blood Kits: _______ x $40.00 = __________________ Check one: [ ] Card  [ ] Draw

Number of Cheek Swab Kits: _______ x $45.00 = __________________

If using Credit Card:  # __________________________

[ ] VISA  [ ] MasterCard  [ ] American Express  [ ] Discover

Expiration date: [ ] [ ] 3-digit Security Code (on back of card after number) [ ] [ ]

Signature ___________________________ Membership # ___________________________

_________________________________________  __________________________________________
Address  City  State  Zip

_________________________________________
Email  Phone

*You may fax this request to the office
AUSTRALIAN SHEPHERD CLUB OF AMERICA®

FOREIGN REGISTRATION APPLICATION
FOR DOGS WITH FOREIGN REGISTRY REGISTRATION

Please fill in the following data accurately.
PRINT OR TYPE all information EXCEPT signature.

Dog's Registered Name or Application Name (limit to 30 characters including spaces and punctuation)

<table>
<thead>
<tr>
<th>Current Registration Number</th>
<th>Registry</th>
<th>Date of Birth</th>
<th>Sex</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
</tbody>
</table>

<table>
<thead>
<tr>
<th>Registered Name of Sire</th>
<th>Registration Number</th>
<th>Registry</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td></td>
<td></td>
</tr>
</tbody>
</table>

<table>
<thead>
<tr>
<th>Registered Name of Dam</th>
<th>Registration Number</th>
<th>Registry</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td></td>
<td></td>
</tr>
</tbody>
</table>

<table>
<thead>
<tr>
<th>Name of Breeder</th>
<th>Address, City, ST, Zip</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td></td>
</tr>
</tbody>
</table>

<table>
<thead>
<tr>
<th>Date Applicant Dog Was Acquired</th>
<th>Previous Owner's Name</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td></td>
</tr>
</tbody>
</table>

Microchip/Tattoo number

Height at Withers: ___________ Weight: ___________ Color Left Eye: ___________
Color Right Eye: ___________

Body Color (check one): □ Blue Merle □ Red Merle □ Black □ Red

Trim Color (check all that apply): □ White □ Copper □ No Trim

By this signature I (We) approve of this registration application of the above applicant dog:

<table>
<thead>
<tr>
<th>Breeder's Signature</th>
<th>Dam's Registration Number</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td></td>
</tr>
</tbody>
</table>

By this signature I (We) approve of the use of my (our) ASCA® registered kennel name in naming the above applicant dog:

<table>
<thead>
<tr>
<th>ASCA® Kennel Name Owners Signature (s)</th>
</tr>
</thead>
</table>

THIS APPLICATION ONLY CONSTITUTES CONSIDERATION FOR REGISTRATION AND DOES NOT GUARANTEE REGISTRATION WITH ASCA®.

I (we) certify that all data submitted above is correct. I (we) understand that all applications become the property of ASCA®. If an application has been fulfilled, no registration certificate will be issued and fees will not be refunded. I (we) further understand that as an owner of an ASCA® registered dog, I (we) am subject to the ASCA® Registry Rules and disciplinary action, as determined by the ASCA® Board of Directors. I hereby certify that these dogs have not been registered as another breed. In addition, I will not register these dogs as another breed. I understand if I register these dogs as another breed, their ASCA registration will be revoked.

If it is discovered, after registration, that any part of this application has been falsified, registration will be revoked for this dog and any progeny. I (we) certify that the applicant dog does not have any disqualifying faults as named in the ASCA® Breed Standard (other than recognized colors, white body splashes, undershot bite, overshot bite exceeding 1/8 inch, monochromatism and cryptorchidism, Dudley nose).

<table>
<thead>
<tr>
<th>Name of Owner</th>
<th>Membership Number</th>
<th>Phone</th>
<th>Email Address</th>
</tr>
</thead>
</table>

<table>
<thead>
<tr>
<th>Name of Co-Owner(s)</th>
<th>Membership Number (s)</th>
</tr>
</thead>
</table>

<table>
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<tr>
<th>Address</th>
<th>City</th>
<th>State</th>
<th>Zip</th>
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</thead>
</table>

Owners Signature | Co-Owners Signature | Date

SEE REVERSE SIDE OF APPLICATION

REV 1/13/12
FOREIGN REGISTRY REGISTRATION RULES
Form III - Foreign Registration Application:

Purebred Australian Shepherds registered with other registries may be eligible for ASCA® registration provided that all of the following requirements are met:

1) The dog was registered as an Australian Shepherd in the country of birth with a foreign registry recognized by ASCA® that has met one of the following requirements:

2) Any registry with which ASCA® has a reciprocal agreement (i.e., The Canadian Kennel Club)

3) Any foreign club registry approved by the ASCA® Board of Directors after review of the registry's registration process (currently the American Kennel Club, The Kennel Club)

4) Owners of applicant dogs registered with non-ASCA® approved registries must seek approval for that registry by providing the ASCA® Board of Directors with clear evidence of the foreign registry's ability to accurately verify purebred Australian Shepherd lineage.

5) If both parents of an applicant dog are ASCA® registered, the dog must be registered through an ASCA® Litter registration application. This dog is NOT eligible for Foreign Registration. (Eff. 10-01-09)

6) Puppies from any litter born prior to the ASCA® Foreign registration of the sire and/or dam will be treated as individuals; each puppy must meet the Foreign Registry Requirements.

7) Registration of any applicant dog, its parents or its grandparents with any registry as a breed other than an Australian Shepherd will result in the applicant dog being ineligible for registration in the ASCA® Registry.

8) The application form is accompanied by a minimum three generation pedigree certified by the foreign registry and must include all registration numbers from that registry.

9) The applicant must provide evidence that the applicant dog’s lineage is based 100% on ASCA® registered dogs. Applicants must supply ASCA® descendant registration numbers at time of application.

10) The application form is accompanied by a photocopy of the registration papers certified by the foreign registry. The dog must have an unrestricted (for breeding) registration with that registry if applying for registration as “for breeding” in the ASCA® Registry. This does not apply to applicant dogs applying to the ASCA® registry as an Altered dog.

11) The ASCA® application and the foreign registry registration must show identical ownership.

12) The dog must have permanent, readable microchip or tattoo identification.

13) The dog must be DNA profiled with an official ASCA® DNA laboratory.

14) The owner must certify that the applicant dog does not have any disqualifying faults as described in the ASCA® breed standard.

15) The signature of all owners must appear on the application.

16) The Breeder’s signature must appear on the application.

17) Three photos are required of the individual dog and must accompany the application form. Photos must be clear, color and show the dog’s front, left and right side full body view.

18) If at any time it is found that any part of the application has been falsified or found to be untrue, registration will be revoked for this dog and any progeny. Disciplinary action may be taken against the owner.

If you are interested in participating with your purebred Australian Shepherd in ASCA®'s many performance programs including Agility, Stockdog, Obedience and Tracking you may want to consider enrolling your dog in ASCA®'s Limited Exhibition Privilege (LEP) program. For further information please visit www.asca.org

Foreign Registration Procedures

1) Complete and submit the Foreign Registry Application.

2) The dog’s registered name with the Foreign Registry will remain the same. If the dog’s registered name already exists in ASCA®'s registry or if the kennel name is an active ASCA® registered kennel name, the dog’s registered name will be required to change to an unique name.

3) Fees for Individual Dog Registration will be as follows:

   a) Foreign Registry - Full Member Rate: $100.00

   b) Foreign Registry - Service Member Rate: $200.00

   c) Canadian Kennel Club - Full Member Rate: 0-12 months $15.00/ 1-2 years $30.00/ 2+ years $50.00

   d) Canadian Kennel Club - Service Member Rate: 0-12 months $30.00/ 1-2 years $60.00/ 2+ years $100.00

4) Submit a photocopy of the applicant dog’s ASCA DNA profile certificate.

5) Submit a photocopy of the applicant dog’s registry-certified registration papers.

6) Submit the applicant dog’s certified three-generation pedigree, including the registration numbers from that registry.

7) Submit three (3) photos: full body left side, full body right side and full front view. Photos must be clear.

8) All submitted material becomes the property of ASCA®.

   a) FEES WILL NOT BE REFUNDED/RETURNED IF THE APPLICATION IS DENIED FOR ANY REASON.

9) Dogs accepted into the registry will be assigned a registry number with an “F” prefix.

   [Register your dog's details here]

   EXP: _______ _______ _______ _______

   SEC CODE: _______ _______ _______

   Cardholder’s Signature: ________________________

CLICK HERE TO PRINT & SIGN
Limited Exhibition Privileges (LEP)
Effective 3/13/01

LEP Application is to allow dogs that give the appearance of being Australian Shepherds to compete for degrees in eligible ASCA competitive programs except Conformation. Dogs accepted for an LEP registration number must be spayed or neutered.

FEES – Must be a member to register a dog
$20.00 for Full Members
$40.00 for Service Members

REQUIREMENTS
1. Submit three (3) color photos (full body left side, right side, and front). Photos must be clear, no Polaroid photos accepted.
2. Provide any pedigree information or copy of registration papers.
3. Dog must be 6 months of age or older at time of application.
4. Copy of spay/neuter certificate with Veterinarian signature

Membership Application on reverse

Name of Dog (Limit to 30 characters including spaces and punctuation)

Other Registration Numbers (if any)______ DOB______ Sex______

Name of Sire (if known)_________________ Registration# (if any)_________________

Name of Dam (if known)_________________ Registration# (if any)_________________

<table>
<thead>
<tr>
<th>Main Body Color</th>
<th>Trim Color</th>
<th>Eye Color</th>
<th>Height</th>
<th>Weight</th>
</tr>
</thead>
<tbody>
<tr>
<td>Blue Merle</td>
<td>White &amp; Copper</td>
<td>Left______</td>
<td>(at withers)</td>
<td>&quot;</td>
</tr>
<tr>
<td>Black</td>
<td>White</td>
<td>Right______</td>
<td></td>
<td></td>
</tr>
<tr>
<td>Red</td>
<td>No Trim</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Other:___________________</td>
<td>Copper</td>
<td></td>
<td></td>
<td></td>
</tr>
</tbody>
</table>

Name of Owner_________________ Co-Owner_________________

Address_________________ City______ ST______ Zip______

Member ID_________________ Email_________________ Phone:________

I (We) testify that all data submitted is correct. I (We) understand that all applications become the property of ASCA. If application has been falsified, no registration certificate will be issued and fees will not be refunded. I (We) further understand that as an owner of an ASCA registered dog, I (We) agree to abide by the ASCA Articles of Incorporation, Bylaws, and Rules of Registries and all eligible competitive programs and to disciplinary action, if necessary, as determined by the Board of Directors of the ASCA. If it is discovered, after registration, that any part of the application has been falsified, registration will be revoked for this dog. I hereby certify these dogs have not been registered as another breed. In addition, I will not register these dogs as another breed. I understand if I register these dogs as another breed, their ASCA registration will be revoked.

ALL OWNERS/CO-OWNERS MUST SIGN

OWNER’S SIGNATURE:____________________ DATE:____________________

CO-OWNER’S SIGNATURE:____________________ DATE:____________________
**MEMBERSHIP APPLICATION AND RENEWAL FORM**

<table>
<thead>
<tr>
<th>FULL</th>
<th>LIFETIME</th>
<th>JUNIOR (ages 8-17)</th>
<th>SERVICE</th>
</tr>
</thead>
<tbody>
<tr>
<td>1 yr subscription “Aussie Times”</td>
<td>Lower Registration Fees</td>
<td>Lower Registration Fees</td>
<td>ASCA® registry services</td>
</tr>
<tr>
<td>Lower registration fees</td>
<td>Voting Privileges</td>
<td>No “Aussie Times” $300 (Single)</td>
<td>Standard registration fees</td>
</tr>
<tr>
<td>Voting Privileges</td>
<td>No “Aussie Times” $520 (Dual)</td>
<td>No “Aussie Times” FREE</td>
<td>No “Aussie Times”</td>
</tr>
<tr>
<td>No “Aussie Times” $25 for 1 year subscription to “Aussie Times”</td>
<td>Date of Birth:</td>
<td>“Aussie Times” 1 yr sub $25</td>
<td>$10 - 1 person</td>
</tr>
<tr>
<td>SINGLE $40 (1 Adult)</td>
<td></td>
<td></td>
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<tr>
<td>DUAL $55</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>(2 Adults-same household)</td>
<td></td>
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</tbody>
</table>

**ADDITONAL POSTAGE OPTIONS FOR “AUSSIE TIMES”**

<table>
<thead>
<tr>
<th>Surface</th>
<th>1st Class</th>
</tr>
</thead>
<tbody>
<tr>
<td>USA</td>
<td>N/A</td>
</tr>
<tr>
<td>CANADA</td>
<td>$18</td>
</tr>
<tr>
<td>ALL OTHER</td>
<td>$24</td>
</tr>
</tbody>
</table>

I would like to make a tax deductible donation to the ASCA® Foundation in the following amount (please send a separate payment):

Epilepsy Research: ___________ Junior Scholarship: ___________ Other: ___________

Name #1_________________________ Name #2_________________________

Address: ____________________________

City: __________ State: ______ Country: __________ Zip Code: __________

Telephone: (_____)________ Email: ________________________________

I (We) agree to abide by the ASCA® Articles of Incorporation, Bylaws, and all ASCA® Rules and Regulations governing the ASCA® Registry and all other ASCA® programs.

#1_________________________ #2_________________________

**SIGNATURES**

☐ New Member  ☐ Renewal

☐ Check here if you wish to obtain a copy of the ASCA® Bylaws

[Click here to print & sign]
REGISTERED NAME CHANGE REQUEST

1. NO WHITEOUTS OR LINEOUTS WILL BE ACCEPTED WITHOUT LETTER OF explainATION FROM THE BREEDER.
2. NO PHOTOCOPIES OR FACSIMILES OF THIS FORM WILL BE ACCEPTED. FORM MUST BE AN ORIGINAL COPY.

<table>
<thead>
<tr>
<th>Registered Name Change Fees</th>
</tr>
</thead>
<tbody>
<tr>
<td>Membership must be current</td>
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<tr>
<td></td>
</tr>
<tr>
<td>Full Members Pay</td>
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<td>$50.00</td>
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</table>

A dog’s registered name can be changed one time if the following criteria are met:
☑ The dog must NOT have earned any points in Conformation, or a qualifying/passing score in any of the other ASCA programs.
☑ The dog may NOT have produced offspring.
☑ The Breeder/Litter Owner/Kennel Owner must give permission for the name change.

ASCA REGISTRATION ID#: _________________________

CURRENT REGISTERED NAME: _____________________________________________

REQUESTED NEW NAME: _________________________________________________

EXTENDED NAME OF DOG: Additional Fee of $20**

**Note, if the Extended Name Fee for this dog was previously paid for on the original individual Dog Application, you do not have to pay it again**

Litter Owner Signature: ___________________________ Date: __________

Litter Co-Owner Signature: _________________________ Date: __________

Kennel Owner(s) Signature: _________________________ Date: __________

Kennel Co-Owner Signature: _________________________ Date: __________

(Must be signed if a Kennel name is added or deleted)

Owner(s) Signature: _____________________________ Date: __________

Co-Owner Signature: _____________________________ Date: __________

Credit Card Number _____________________________ Expires _________

American Express

Discover

MasterCard

Visa

Card Holder’s Signature _____________________________________________
Minor Signature Authorization Form

For ASCA’s purposes, all persons under the age of eighteen will be considered minors. This minor authorization form is to be completed by the minor’s natural parents or legal guardians. ASCA will not assume any obligation to determine whether or not an owner is a minor unless we have been so notified.

**RECORDED OWNER INFORMATION**

<table>
<thead>
<tr>
<th>Minor Name</th>
<th>Junior Member #</th>
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<tr>
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<table>
<thead>
<tr>
<th>Address</th>
<th>Birthdate</th>
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<table>
<thead>
<tr>
<th>City</th>
<th>State</th>
<th>Country</th>
<th>Zip/Postal Code</th>
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<table>
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<tr>
<th>Phone</th>
<th>Fax</th>
<th>E-Mail</th>
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**AUTHORIZATION INFORMATION**

The following person(s) is/are authorized to act on behalf of the recorded owner/recorded minor listed above. This person(s) is/are authorized to sign all ASCA Registry documents pertaining to this ownership or pertaining to the Australian Shepherds recorded in this ownership, and to deliver such documents to ASCA.

<table>
<thead>
<tr>
<th>Name of Authorized Person (type or print)</th>
<th>Title (if applicable)</th>
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<table>
<thead>
<tr>
<th>Signature of Authorized Person</th>
<th>Date</th>
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**AFFIRMATION**

I (we) affirm I (we) am/are the custodial parent(s) or legal guardian(s) of the recorded owner/recorded minor listed above, and possess full legal power and authority to make this authorization and (I/we) accept responsibility to act on behalf of the minor.

I (we) acknowledge and agree the signature of any one authorized person, with or without the signature of the minor, will be sufficient to transact business with ASCA on behalf of this recorded owner.

I (we) agree that this authorization will become effective upon receipt by ASCA and will remain in effect until a written notice of change or revocation is received by ASCA. (I/we) acknowledge the authorization expires on the minor’s 18th birthday.

<table>
<thead>
<tr>
<th>Parent or Guardian Name(s) (type or print)</th>
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<table>
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<tr>
<th>Parent or Guardian Signature(s)</th>
<th>Date</th>
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